Mordell-Lang Questions

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▶ for $\alpha \in \mathbb{C}$ we define the *orbit* $\operatorname{Orb}_f(\alpha)$ of α under f as

$$\{\alpha, f(\alpha), f^2(\alpha), \dots, f^n(\alpha), \dots\} = \bigcup_{n=0}^{\infty} f^n(\alpha),$$

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e.g. let $f(x) = x^2 + 1$ and $\alpha = 0$, then

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Let's suppose further that Orb_f(α) is infinite (in dynamical terminology this means that α is not preperiodic).

We begin with an ill-posed question.

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Let $f, g \in \mathbb{C}[x]$ each be polynomials of degree ≥ 2 . Let $\alpha, \beta \in \mathbb{C}$.

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Let $f, g \in \mathbb{C}[x]$ each be polynomials of degree ≥ 2 . Let $\alpha, \beta \in \mathbb{C}$. When can

 $\operatorname{Orb}_f(\alpha) \cap \operatorname{Orb}_g(\beta)$ be infinite?

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Suppose that there exist positive integers m and n such that $f^m = g^n$ (we say in this case that f and g have a *common iterate*). Then, of course, we have $f^m(\alpha) = g^n(\alpha)$ so clearly the intersection $\operatorname{Orb}_f(\alpha) \cap \operatorname{Orb}_g(\alpha)$ is infinite for any choice of α (as long as α is not preperiodic).

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We can show this is essentially the only way $\operatorname{Orb}_f(\alpha) \cap \operatorname{Orb}_g(\alpha)$ can be infinite. But ruling out the case of common iterates, we have the following theorem.

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Theorem 1

(Ghioca-T-Zieve, 2008) Let $f, g \in \mathbb{C}[x]$ be polynomials of degree 2 or more. Let $\alpha, \beta \in \mathbb{C}$. If $\operatorname{Orb}_f(\alpha) \cap \operatorname{Orb}_g(\beta)$ is infinite, then there exists positive integers m and n such that $f^m = g^n$.

Theorem 1 is proved using number theory.

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The idea is that since it only involves α , β , and the coefficients of f and g, it all takes place in a finitely generated extension of \mathbb{Z} , which allows one to reduce the entire problem to the case where f, g, α , and β are all in \mathbb{Z}

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The rough idea is that if there are infinitely many ℓ , and k such that $f^k(\alpha) = g^{\ell}(\beta)$, then for all r, s there are infinitely many integer solutions to the equation

$$f^r(x)-g^s(y)=0$$

(we obtain these by taking $x = f^{\ell-r}(\alpha)$ and $y = g^{k-s}(\beta)$ for various k and ℓ).

Sketch of a proof of Theorem 1 (continued)

Siegel's theorem, as developed by Bilu and Tichy, says that in general equations of the form

$$P(x) - Q(y) = 0$$

may only have infinitely many integer solutions under very special circumstances.

Sketch of a proof of Theorem 1 (continued)

Siegel's theorem, as developed by Bilu and Tichy, says that in general equations of the form

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may only have infinitely many integer solutions under very special circumstances. Roughly, one expects this to happen only when there is a polynomial h(x, y) of degree 1 or 2 such that h(x, y) divides P(x) - Q(y). One obvious way for this to happen is to have P = Q since

$$(x - y)$$
 divides $P(x) - P(y)$.

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Siegel's theorem, as developed by Bilu and Tichy, says that in general equations of the form

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may only have infinitely many integer solutions under very special circumstances. Roughly, one expects this to happen only when there is a polynomial h(x, y) of degree 1 or 2 such that h(x, y) divides P(x) - Q(y). One obvious way for this to happen is to have P = Q since

$$(x - y)$$
 divides $P(x) - P(y)$.

Theorem 1 is proved by showing that the *only way* there can be infinitely many solutions to

$$f^r(x) - g^s(y) = 0$$

We may think of each (f^i, g^j) as acting on $\mathbb{C} \times \mathbb{C}$ by

$$(f^i, g^j)(\alpha, \beta) = (f^i(\alpha), g^j(\beta))$$

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• $f^{i}(\alpha) = g^{j}(\beta) \iff (f^{i}(\alpha), g^{j}(\beta)) \in \Delta;$

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Thus, Theorem 1 implies that if there are infinitely many i, j such that $f^i(\alpha) = g^j(\beta)$, then there is some m, n such that $(f^m, g^n)(\Delta) = \Delta$. This gives the following reformulation of Theorem 1.

Theorem 1 can be reformulated in a way that suggests possible generalizations.

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Theorem

The set of pairs (i, j) such that $(f^i(\alpha), g^j(\beta)) \in \Delta$ is a finite union of cosets of subsemigroups of $\mathbb{N}_0 \times \mathbb{N}_0$, where \mathbb{N}_0 is the additive semigroup of nonnegative integers.

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Example

Let
$$f(x) = x^2$$
 and $g(x) = -x^4$. Let $\alpha = 3$, $\beta = -9$.

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This reformulation on the previous page (which motivated Theorem 1) was motivated by the so-called *Mordell-Lang* theorem of Laurent, Faltings, Vojta, and McQuillan. We state the earliest form of it, due to Laurent.

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Theorem ML

Let V be a closed subvariety of $(\mathbb{C}^*)^n$ and let $\Gamma \subset (\mathbb{C}^*)^n$ be a finitely generated subgroup. Then $V(\mathbb{C}) \cap \Gamma$ is a finite union of cosets of subgroups of Γ .

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A side note about the usual Mordell conjecture

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Theorem

(Mordell conjecture 1922, Faltings's theorem 1983) If C is a curve of genus ≥ 2 , then there are finitely many rational points on C.

The usual Mordell conjecture is a consequence of a more general Mordell-Lang theorem for semiabelian varieties. Recall:

Theorem

(Mordell conjecture 1922, Faltings's theorem 1983) If C is a curve of genus ≥ 2 , then there are finitely many rational points on C. One derives the usual Mordell conjecture from Mordell-Lang as follows

• Embed *C* into its Jacobian (which is a semiabelian variety).

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Note that \mathbb{C}^n , for example, is a variety since it is obtained from \mathbb{P}^n as the complement of they hyperplane at infinity.

Dynamical Mordell-Lang question

Question DML

Let X be a variety defined over \mathbb{C} , let V be a closed subvariety of X, and let S be a finitely generated commutative semigroup of maps from V to itself, and let $\alpha \in X(\mathbb{C})$. Can the set

$$\{\sigma \in \mathcal{S} \mid \sigma(\alpha) \in \mathcal{V}\}$$

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be written as a finite union of cosets of subsemigroups of S? The answer is "no" in general, as we shall see.

The simplest counterexample may be the following.

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Let X be \mathbb{C}^2 and let S be the group of translations generated by:

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Thus, we say that the dynamical Mordell-Lang question has a negative answer for groups of additive translations.

Question DML has a complicated answer even in the the case where S is a finitely generated group of $n \times n$ matrices acting on \mathbb{C}^{n} .

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Let S be a group of matrices in $GL_n(\mathbb{C})$ and let V be one-dimensional subspace of \mathbb{C}^n (i.e., a line through the origin). Then if $\alpha \in \mathbb{C}^n$ and L is the line through α in \mathbb{C}^n , we let S_L is the subgroup of matrices $\sigma \in S$ such that $\sigma(L) = L$.

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of S_L for some $a \in S$, by basic group orbit theory (this works even S is not commutative or finitely generated, in fact!).

Linear algebra continued

Moreover, it follows from Laurent's theorem that if the matrices are all simultaneously diagonalizable then the dynamical Mordell-Lang question is true, since diagonalizable matrices act like multiplicative translations – this will work for any closed subvariety *V*.

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Example

Let $X = \mathbb{C}^3$, let V be the subspace given by the *yz*-plane, i.e. the set of all $\{0, y, z\}$, and let S be the group generated by the matrices $\begin{pmatrix} 2 & -1 & 0 \\ 0 & 2 & -2 \\ 0 & 0 & 2 \end{pmatrix}$ and $\begin{pmatrix} 2 & 2 & 0 \\ 0 & 2 & 4 \\ 0 & 0 & 2 \end{pmatrix}$. The dynamical Mordell-Lang question has a negative answer here.

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where f is an indecomposable polynomial with no periodic critical points and the subvariety V is a curve (also due to B-G-K-T).

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The *p*-adic absolute value gives rise to a metric on all of \mathbb{Q} , and to a complete, algebraically closed field \mathbb{C}_p that is the *p*-adic analog of the complex numbers. One can do analysis in the usual sense on \mathbb{C}_p , and crucially:

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 \mathbb{Z} is in the closed unit disc in \mathbb{C}_p .

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This produces the coset

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p-adic analytic parametrization

We show that there is a prime p and a modulus m such that for each congruence class i modulo m, there is a p-adic analytic map

$$heta_i:\mathbb{D}_p\longrightarrow X(\mathbb{C})\quad ext{ such that }\quad heta_i(k)=\Phi^{\ell+i+mk}(lpha)$$

where \mathbb{D}_p is the closed disc of radius 1 in \mathbb{C}_p (note that this disc contains \mathbb{Z} !).

Then for each polynomial F that vanishes on V, we have

$$F(\theta_i(k)) = 0$$
 whenever $\Phi^i(\alpha) \in V$.

Since $F(\theta_i(k))$ is an analytic function of one variable, its zeros are isolated. Thus, if there are infinitely many k such that $F(\theta_i(k)) = 0$, then $F(\theta_i(k)) = 0$ for all k.

This produces the coset

$$\{\ell,\ell+m,\ldots,\ell+km,\ldots\}=\ell+m\mathbb{N}_0.$$

Note that this is also a "linearizing" technique that is analogous to taking logs on a Lie group.

We can apply the *p*-adic parametrization for cyclic S method whenever some iterate of α ends up in a residue class $\overline{\beta}$ modulo *p* such that:

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Of these conditions, the one about ramification is the most serious restriction (this is why the most general case treated so far is the case where this is no ramification).

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Question

Let $f \in \mathbb{Q}[x]$ be a polynomial of degree ≥ 2 and let $\alpha \in \mathbb{Q}$ be a point such that $\operatorname{Orb}_f(\alpha)$ does not meet the critical points of f. For what proportion of primes p is there an n such that $f^n(\alpha)$ is congruent to a critical point of f modulo p?

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We believe that the answer is "zero". In the case of quadratic polynomials, R. Jones and M. Stoll have proved this. An incomplete answer to this question gave rise to the results on maps of the form (f, \ldots, f) described earlier. Getting a good answer to this question in general would be a good first step towards solving the dynamical Mordell-Lang problem in the cyclic case.

Just to make this even more concrete.

Question

Let $f \in \mathbb{Z}[x]$ be a polynomial of degree ≥ 2 and let $\alpha, \beta \in \mathbb{Z}$ be points such that there is no $n \geq 0$ for which $f^n(\alpha) = \beta$. What can be said about the set S primes p such that there is an n such that $f^n(\alpha) = \beta$ modulo p?

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- One expects that typically S has density 0.
- There are special cases where S does not have density 0, such as when f(x) = x³ + 1 and α = β (In this case, S contains all primes that are congruent to 2 modulo 3, since f is permutation modulo p for such p.)
- The only thing we can prove in general for now is that there are infinitely many primes such that there is *no n* such that $f^n(\alpha) = \beta$. In other words, there are finitely many primes *p* that are *not* in *S*.

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- The only thing we can prove in general for now is that there are infinitely many primes such that there is no n such that fⁿ(α) = β. In other words, there are finitely many primes p that are not in S. (The proof of this uses Roth's theorem, which seems like overkill).

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To summarize:

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To summarize:

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So new ideas are needed.

There are a few ideas about how to modify the dynamical Mordell-Lang question to make it have a positive answer.

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One is to use the fact that the *p*-adic parameterizations maps convert the various elements of the semigroup into their Jacobian matrices. Then one could ask that the theorem be true when the Jacobian matrices are simultaneously diagonalizable.

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One is to use the fact that the *p*-adic parameterizations maps convert the various elements of the semigroup into their Jacobian matrices. Then one could ask that the theorem be true when the Jacobian matrices are simultaneously diagonalizable. That seems a bit limited, though.

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- 1. The Pell's equation curve $x^2 3y^2 = 1$ is stable under the action of the map $\sigma : (x, y) \longrightarrow (2x + 3y, 2y + x)$, which "almost" commutes with additive translation.
- 2. The subspace x = 0 is stable under scalar multiplication, which does commute with the action of any matrix.

Recall that the cosets that appear in the dynamical Mordell-Lang theorem correspond to stabilizer groups of various subvarieties of the variety V.

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This may give a way towards a statement of a general dynamical Mordell-Lang theorem.