

**Definition 4.1.** A discrete valuation on a field  $K$  is a surjective homomorphism from  $K^*$  onto the additive group of  $\mathbb{Z}$  such that

- (1)  $v(xy) = v(x) + v(y)$ ;
- (2)  $v(x + y) \geq \min(v(x), v(y))$ .

By convention, we say that  $v(0) = \infty$ .

*Remark 4.2.* Note that it follows from property 2 that if  $v(x) > v(y)$ , then  $v(x + y) = v(y)$ . To prove this we note that  $v(-x) = v(x)$  and  $v(y) = v(-y)$ , so we have

$$v(y) \geq \min(v(x + y), v(-x)) \geq v(x + y)$$

since  $v(x) > v(y)$ . Since  $v(x + y) \geq \min(v(x), v(y))$  also, we must have  $v(x + y) = v(y)$ .

**Example 4.3.** Let  $v_p$  be the  $p$ -adic valuation on  $\mathbb{Q}$ . That is to say that  $v_p(a)$  is the largest power dividing  $a$  for  $a \in \mathbb{Z}$  and  $v_p(a/b) = v_p(a) - v_p(b)$  for  $a, b \in \mathbb{Z}$ .

**Definition 4.4.** A discrete valuation  $R$  ring is a set of the form

$$\{a \in K \mid v(a) \geq 0\}$$

Note that since we have assumed that  $v$  is surjective a field is not a DVR. This is different from the terminology used in the book. The key fact about DVR's is that if we pick a  $\pi$  for which  $v(\pi) = 1$ , then every element in  $a$  in  $R$  can be written as  $u\pi^n$  for some  $n \geq 0$ . Indeed, this follows from the fact that  $a/\pi^{v(a)}$  must have valuation 1 and therefore be a unit. Thus,  $Ra$  is the only maximal ideal in  $R$ .

How can we identify a DVR? The following will help.

A couple remarks first:

- (1) If  $I$  and  $J$  are principal then so is  $IJ$ . In particular, any power of a principal ideal is principal.
- (2) Notation: for any ideal  $I$  of  $R$ , we say  $I^0 = R$ .

**Proposition 4.5.** *Let  $R$  be a Noetherian local domain of dimension 1 with maximal ideal  $\mathcal{M}$  and with  $R/\mathcal{M} = k$  its residue field. Then the following are equivalent*

- (1)  $R$  is a DVR;
- (2)  $R$  is integrally closed;
- (3)  $\mathcal{M}$  is principal;
- (4) there is some  $\pi \in R$  such that every element  $a \in R$  can be written uniquely as  $u\pi^n$  for some unit  $u$  and some integer  $n \geq 0$ .

(5) every nonzero ideal is a power of  $\mathcal{M}$ ;

*Proof.* (1  $\Rightarrow$  2) Suppose that  $b \in K \setminus R$ . Then  $v(b) < 0$ , so for any monic polynomial in  $b$  with coefficients in  $R$ , we have

$$v(b^n + a_n b^{n-1} + \cdots + a_0) = v(b^n) < 0,$$

which means that  $b^n + a_n b^{n-1} + \cdots + a_0 \neq 0$ .

(2  $\Rightarrow$  3) Let  $a \in \mathcal{M}$ . There is some  $n$  for which  $\mathcal{M}^n \subset (a)$  (by “Poor Man’s Factorization” in Noetherian rings) but  $\mathcal{M}^{n-1}$  is not contained in  $(a)$  (note  $n-1$  could be zero). Let  $b \in \mathcal{M}^{n-1} \setminus (a)$  and let  $x = a/b$ . We can show that  $\mathcal{M} = Rx$ . This is equivalent to showing that  $x^{-1}\mathcal{M} = R$ . Note that since  $(b)$  is not in  $(a)$ ,  $b/a = x^{-1}$  cannot be in  $R$ . Hence, it cannot be integral over  $R$ . By Cayley-Hamilton,  $x^{-1}\mathcal{M} \neq \mathcal{M}$  since  $\mathcal{M}$  is finitely generated as an  $R$ -module and  $x^{-1} \notin R$  and  $R$  is integrally closed. Since  $x^{-1}\mathcal{M}$  is an  $R$ -module and  $x^{-1}\mathcal{M} \subset R$  (this follows from the fact that  $b\mathcal{M} \subset \mathcal{M}^n \subset (a)$ ), this means that  $x^{-1}\mathcal{M}$  is an ideal of  $R$  not contained in  $\mathcal{M}$ . So  $x^{-1}\mathcal{M} = R$ , as desired.

(3  $\Rightarrow$  4) Let  $\pi$  generate  $\mathcal{M}$ . Now, let  $a \in R$ . We define  $w(a)$  to be the smallest  $n$  for which  $\mathcal{M}^n \subset Ra$ ; such an  $n$  exists by “Poor Man’s Factorization” in Noetherian rings. We will show by induction that that  $a$  can be written as  $u\pi^{w(a)}$  for some unit  $u$ . The case  $w(a) = 0$  is trivial, since  $w(a) = 0$  means  $a$  is a unit. If  $w(a) \geq 1$ , then  $a \in \mathcal{M}$ . Then we can write  $a = \pi b$  for some  $b$ . Since, any element in  $\mathcal{M}^n$ , which is simply the set of  $z\pi^n$  for  $z \in R$ , can be written as  $xa$  for some  $x \in R$ , any element  $z\pi^{w(a)-1}$  in  $\mathcal{M}^{w(a)-1}$  can be written as  $xb$  for that same  $x$ . Hence  $w(b) \leq w(a) - 1$ . By the same reasoning,  $w(b) \geq w(a) - 1$ . Hence  $w(b) = w(a) - 1$ . So we can write  $b$  uniquely as  $u\pi^{w(b)}$  for some unit  $u$ , which gives  $a = u\pi^{w(a)}$  uniquely.

(4  $\Rightarrow$  5) Let  $I$  be an ideal of  $R$ . Since  $I$  is finitely generated, it has generators  $m_1, \dots, m_n$  which can all be written as  $u_i\pi^{t_i}$ . Then the  $i$  for which  $t_i$  is smallest will generate  $I$  from above.

(5  $\Rightarrow$  1) Let  $a \in R$ . Then  $Ra = \mathcal{M}^n$  for some unique  $n$ . Letting  $v(a) = n$  gives the desired valuation. □

**Example 4.6.** The ideal  $\mathcal{P}$  generated by 2 and  $\sqrt{5} - 5$  in  $\mathbb{Z}[\sqrt{5}]$  is prime but  $\mathbb{Z}[\sqrt{5}]_{\mathcal{P}}$  is not a DVR. More on this later.

**Definition 4.7.** A Dedekind domain is a Noetherian domain  $R$  such that  $R_{\mathcal{P}}$  is a DVR for every nonzero prime  $\mathcal{P}$  of  $R$ .

Recall that in any noetherian ring  $R$  for every ideal  $I$  we can write  $\prod_{i=1}^n \mathcal{P}_i \subset I$  with  $\mathcal{P}_i \supset I$ . We’ll prove that in a Dedekind domain we can write get an inequality and get it uniquely.

One more thing: we'll want to work in Noetherian domains of (Krull) dimension 1 more generally, as you'll see later. So we'll try to state results for them when possible.

To understand how to factorize an ideal  $I$ , we'll want to understand  $R/I$ . To help us with this we'll want the Chinese remainder theorem.

The Chinese remainder theorem really consists of writing 1 in a lot of different ways. Let's prove the following easy Lemma.

**Lemma 4.8.** *Let  $I$  and  $J$  be ideals in  $R$ . Suppose that  $I + J = 1$ . Then*

- (1)  $I \cap J = IJ$ ; and
- (2) for any positive integers  $m, n$ , we have  $I^m + J^n = 1$ .

*Proof.* Since  $I + J = 1$ , we can write  $a + b = 1$  for  $a \in I$  and  $b \in J$ . Now 1. follows from the fact that for if  $x \in I \cap J$ , then  $x = (a + b)x = ax + bx \in IJ$ , so  $I \cap J \subset IJ$ . The reverse inclusion  $IJ \subset I \cap J$  is obvious. To prove 2., we simply write  $(a + b)^{2(m+n)} = 1$ , and note that the expansion of  $(a + b)^{2(m+n)}$  consists entirely of elements in either  $I^{m+n} \subset I^m$  or  $J^{m+n} \subset J^n$ .  $\square$

**Lemma 4.9.** *Let  $I$  and  $J$  be ideals of  $R$  and suppose that  $I + J = 1$ . Then the natural map*

$$\phi : R \longrightarrow R/I \oplus R/J$$

*is surjective with kernel  $IJ$ .*

*Proof.* The kernel is  $I \cap J$  which equals  $IJ$  from the Lemma above. Now, to see that it is surjective, write  $a + b = 1$  with  $a \in I$  and  $b \in J$ . Then  $b = 1 - a$  and  $\phi(b) = (1, 0)$  and  $\phi(a) = (0, 1)$ . Since  $\phi(R)$  is clearly a  $R/I \oplus R/J$  module and  $R/I \oplus R/J$  is generated by  $(1, 0)$  and  $(0, 1)$  as an  $R/I \oplus R/J$  module,  $\phi$  must be surjective.  $\square$

**Lemma 4.10.** *If  $I + J_1 = 1$  and  $I + J_2 = 1$ , then  $I + J_1J_2 = 1$ .*

*Proof.* Writing  $a + b = 1$  for  $a \in I$  and  $b \in J_1$  and writing  $a' + b' = 1$  for  $a \in I$  and  $b \in J_2$ , we see that

$$1 = (a + b)(a' + b') = aa' + ab' + ba' + bb' \subset I + J_1J_2.$$

$\square$

**Proposition 4.11.** *(Chinese Remainder theorem) Let  $R$  be a ring and let  $I_1, \dots, I_n$  be a set of ideals of  $R$  such that  $I_j + I_k = 1$  for  $j \neq k$ . Then the natural map*

$$R \longrightarrow \bigoplus_{j=1}^n R/I_j$$

*is surjective with kernel  $I_1 \cdots I_n$ .*

*Proof.* We proceed by induction on  $n$ . If  $n = 1$ , then the result is obvious. Otherwise, write  $I := I_1$  and  $J := I_2 \cdots I_n$ . Applying the lemmas above,  $I + J = 1$  and the natural map

$$R \longrightarrow R/I \oplus R/J$$

is surjective with kernel  $IJ$ . Since the natural map

$$R \longrightarrow \bigoplus_{j=2}^n R/I_j$$

is surjective with kernel  $I_2 \cdots I_n$  by the inductive hypothesis, we are done.  $\square$

One more criterion related to being a DVR.

**Proposition 4.12.** *Let  $A$  be a Noetherian local ring with maximal ideal  $\mathcal{M}$ . Suppose that*

$$Rx_1 + \cdots + Rx_n + \mathcal{M}^2 = \mathcal{M},$$

for  $x_i \in R$ . Then  $Rx_1 + \cdots + Rx_n = \mathcal{M}$ .

*Proof.* Let  $N = \mathcal{M}/(Rx_1 + \cdots + Rx_n)$ . Then  $\mathcal{M}N = N$ , so  $N = 0$  by Nakayama's lemma, since  $N$  is finitely generated.  $\square$

**Corollary 4.13.** *Let  $A$  be a Noetherian local ring. Let  $\mathcal{M}$  be its maximal ideal and let  $k$  be the residue field  $A/\mathcal{M}$ . Then*

$$\dim_k \mathcal{M}/\mathcal{M}^2 = 1$$

if and only if  $\mathcal{M}$  is principal

*Proof.* One direction is easy: If  $\mathcal{M}$  is generated by  $\pi$ , then  $\mathcal{M}/\mathcal{M}^2$  is generated by the image of  $\pi$  modulo  $\mathcal{M}^2$ . To prove the other direction, suppose that  $\mathcal{M}/\mathcal{M}^2$  has dimension 1. Then we can write  $\mathcal{M} = Ra + \mathcal{M}^2$  for some  $a \in \mathcal{M}$ . Then the module  $M = \mathcal{M}/a$  has the property that  $\mathcal{M}M = M$ , since any element in  $M$  can be written as  $ca + d$  for  $c \in R$  and  $d \in \mathcal{M}^2$ . By Nakayama's lemma, we thus have  $M = 0$ , so  $\mathcal{M} = Ra$ .  $\square$