

How can we identify a DVR? The following will help.

A couple remarks first:

- (1) If I and J are principal then so is IJ . In particular, any power of a principal ideal is principal.
- (2) Notation: for any ideal I of R , we say $I^0 = R$.

Proposition 5.1. *Let R be a Noetherian local domain of dimension 1 with maximal ideal \mathcal{M} and with $R/\mathcal{M} = k$ its residue field. Then the following are equivalent*

- (1) R is a DVR;
- (2) R is integrally closed;
- (3) \mathcal{M} is principal;
- (4) there is some $\pi \in R$ such that every element $a \in R$ can be written uniquely as $u\pi^n$ for some unit u and some integer $n \geq 0$.
- (5) every nonzero ideal is a power of \mathcal{M} ;

Proof. (1 \Rightarrow 2) Suppose that $b \in K \setminus R$. Then $v(b) < 0$, so for any monic polynomial in b with coefficients in R , we have

$$v(b^n + a_nb^{n-1} + \cdots + a_0) = v(b^n) < 0,$$

which means that $b^n + a_nb^{n-1} + \cdots + a_0 \neq 0$.

(2 \Rightarrow 3) Let $a \in \mathcal{M}$. There is some n for which $\mathcal{M}^n \subset (a)$ (by “Poor Man’s Factorization” in Noetherian rings) but \mathcal{M}^{n-1} is not contained in (a) (note $n-1$ could be zero). Let $b \in \mathcal{M}^{n-1} \setminus (a)$ and let $x = a/b$. We can show that $\mathcal{M} = Rx$. This is equivalent to showing that $x^{-1}\mathcal{M} = R$. Note that since (b) is not in (a) , $b/a = x^{-1}$ cannot be in R . Hence, it cannot be integral over R . By Cayley-Hamilton, $x^{-1}\mathcal{M} \neq \mathcal{M}$ since \mathcal{M} is finitely generated as an R -module and $x^{-1} \notin R$ and R is integrally closed. Since $x^{-1}\mathcal{M}$ is an R -module and $x^{-1}\mathcal{M} \subset R$ (this follows from the fact that $b\mathcal{M} \subset \mathcal{M}^n \subset (a)$), this means that $x^{-1}\mathcal{M}$ is an ideal of R not contained in \mathcal{M} . So $x^{-1}\mathcal{M} = R$, as desired.

(3 \Rightarrow 4) Let π generate \mathcal{M} . Now, let $a \in R$. We define $w(a)$ to be the smallest n for which $\mathcal{M}^n \subset Ra$; such an n exists by “Poor Man’s Factorization” in Noetherian rings. We will show by induction that that a can be written as $u\pi^{w(a)}$ for some unit u . The case $w(a) = 0$ is trivial, since $w(a) = 0$ means a is a unit. If $w(a) \geq 1$, then $a \in \mathcal{M}$. Then we can write $a = \pi b$ for some b . Since, any element in \mathcal{M}^n , which is simply the set of $z\pi^n$ for $z \in R$, can be written as xa for some $x \in R$, any element $z\pi^{w(a)-1}$ in $\mathcal{M}^{w(a)-1}$ can be written as xb for that same x . Hence $w(b) \leq w(a) - 1$. By the same reasoning, $w(b) \geq w(a) - 1$. Hence $w(b) = w(a) - 1$. So we can write b uniquely as $u\pi^{w(b)}$ for some unit u , which gives $a = u\pi^{w(a)}$ uniquely.

(4 \Rightarrow 5) Let I be an ideal of R . Since I is finitely generated, it has generators m_1, \dots, m_n which can all be written as $u_i \pi^{t_i}$. Then the i for which t_i is smallest will generate I from above.

(5 \Rightarrow 1) Let $a \in R$. Then $Ra = \mathcal{M}^n$ for some unique n . Letting $v(a) = n$ gives the desired valuation. \square

Example 5.2. The ideal \mathcal{P} generated by 2 and $\sqrt{5} - 5$ in $\mathbb{Z}[\sqrt{5}]$ is prime but $\mathbb{Z}[\sqrt{5}]_{\mathcal{P}}$ is not a DVR. More on this later.

Recall, a Dedekind domain is a Noetherian domain R such that $R_{\mathcal{P}}$ is a DVR for every nonzero prime \mathcal{P} of R . The ideal structure is a bit more complicated than that of a DVR. Recall that in any noetherian ring R for every ideal I we can write $\prod_{i=1}^n \mathcal{P}_i \subset I$ with $\mathcal{P}_i \supset I$. We'll prove that in a Dedekind domain we can write get an inequality and get it uniquely.

One more thing: we'll want to work in Noetherian domains of (Krull) dimension 1 more generally, as you'll see later. So we'll try to state results for them when possible.

To understand how to factorize an ideal I , we'll want to understand R/I . To help us with this we'll want the Chinese remainder theorem.

The Chinese remainder theorem really consists of writing 1 in a lot of different ways. Let's prove the following easy Lemma.

Lemma 5.3. *Let I and J be ideals in R . Suppose that $I + J = 1$. Then*

- (1) $I \cap J = IJ$; and
- (2) *for any positive integers m, n , we have $I^m + J^n = 1$.*

Proof. Since $I + J = 1$, we can write $a + b = 1$ for $a \in I$ and $b \in J$. Now 1. follows from the fact that for if $x \in I \cap J$, then $x = (a + b)x = ax + bx \in IJ$, so $I \cap J \subset IJ$. The reverse inclusion $IJ \subset I \cap J$ is obvious. To prove 2., we simply write $(a + b)^{2(m+n)} = 1$, and note that the expansion of $(a + b)^{2(m+n)}$ consists entirely of elements in either $I^{m+n} \subset I^m$ or $J^{m+n} \subset J^n$. \square

Lemma 5.4. *Let I and J be ideals of R and suppose that $I + J = 1$. Then the natural map*

$$\phi : R \longrightarrow R/I \oplus R/J$$

is surjective with kernel IJ .

Proof. The kernel is $I \cap J$ which equals IJ from the Lemma above. Now, to see that it is surjective, write $a + b = 1$ with $a \in I$ and $b \in J$.

Then $b = 1 - a$ and $\phi(b) = (1, 0)$ and $\phi(a) = (0, 1)$. Since $\phi(R)$ is clearly a $R/I \oplus R/J$ module and $R/I \oplus R/J$ is generated by $(1, 0)$ and $(0, 1)$ as an $R/I \oplus R/J$ module, ϕ must be surjective. \square

Lemma 5.5. *If $I + J_1 = 1$ and $I + J_2 = 1$, then $I + J_1 J_2 = 1$.*

Proof. Writing $a + b = 1$ for $a \in I$ and $b \in J_1$ and writing $a' + b' = 1$ for $a' \in I$ and $b' \in J_2$, we see that

$$1 = (a + b)(a' + b') = aa' + ab' + ba' + bb' \subset I + J_1 J_2.$$

\square

Proposition 5.6. *(Chinese Remainder theorem) Let R be a ring and let I_1, \dots, I_n be a set of ideals of R such that $I_j + I_k = 1$ for $j \neq k$. Then the natural map*

$$R \longrightarrow \bigoplus_{j=1}^n R/I_j$$

is surjective with kernel $I_1 \cdots I_n$.

Proof. We proceed by induction on n . If $n = 1$, then the result is obvious. Otherwise, write $I := I_1$ and $J := I_2 \cdots I_n$. Applying the lemmas above, $I + J = 1$ and the natural map

$$R \longrightarrow R/I \oplus R/J$$

is surjective with kernel IJ . Since the natural map

$$R \longrightarrow \bigoplus_{j=2}^n R/I_j$$

is surjective with kernel $I_2 \cdots I_n$ by the inductive hypothesis, we are done. \square