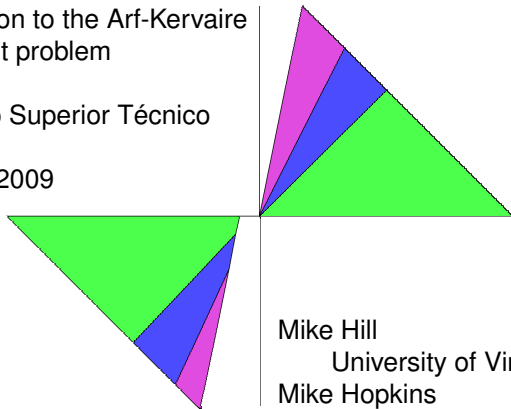


Lecture 1

A solution to the Arf-Kervaire invariant problem

Instituto Superior Técnico
Lisbon
May 5, 2009



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- Exotic spheres
- The Pontrjagin-Thom construction
- The J -homomorphism
- The use of surgery
- The Hirzebruch signature theorem
- The Arf invariant
- Browder's theorem

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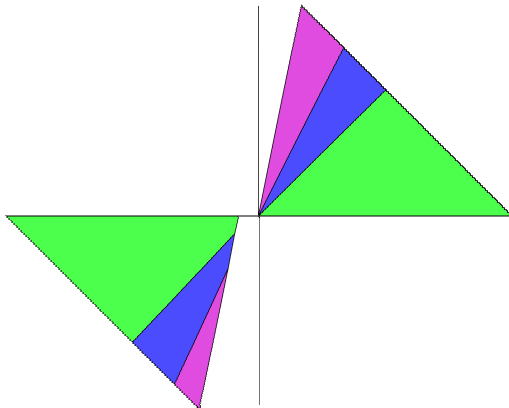
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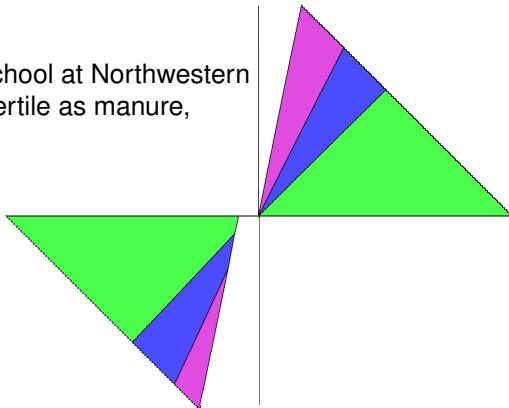
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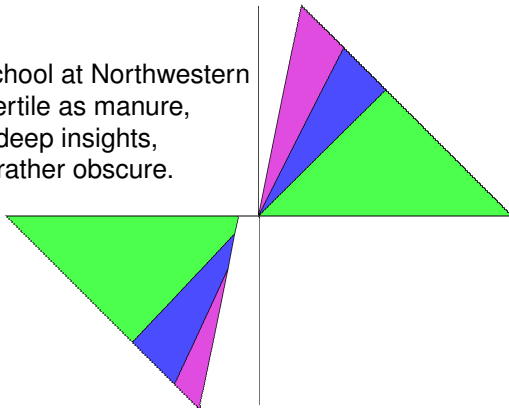
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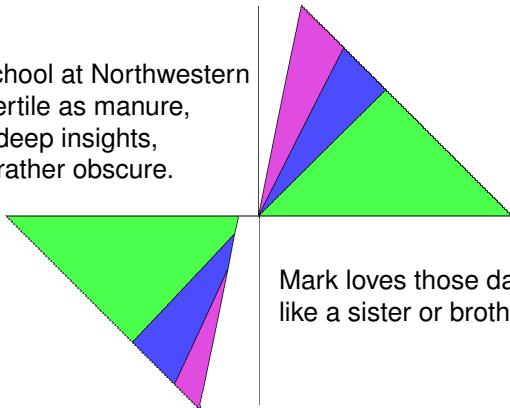
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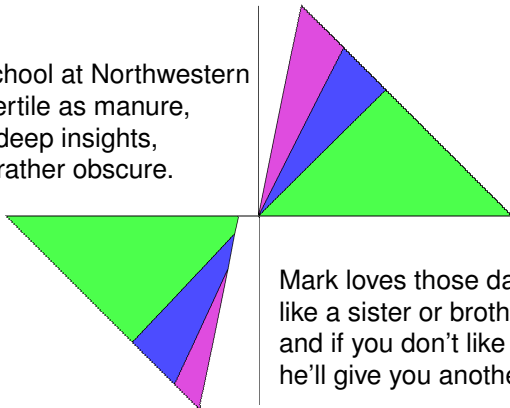
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Our main theorem can be stated in two different but equivalent ways:

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Our main theorem can be stated in two different but equivalent ways:

- It says that a certain algebraically defined invariant $\Phi(M)$ (the Arf-Kervaire invariant, to be defined later) on certain manifolds M is always zero.



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- It says that a certain algebraically defined invariant $\Phi(M)$ (the Arf-Kervaire invariant, to be defined later) on certain manifolds M is always zero.
- It says that a related invariant (having to do with secondary cohomology operations) defined on maps between high dimensional spheres is always zero.



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The question answered by our theorem is nearly 50 years old.



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The question answered by our theorem is nearly 50 years old. It is known as the Arf-Kervaire invariant problem. There were several unsuccessful attempts to solve it in the 1970s.



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Some homotopy theorists, most notably Mark Mahowald, conjectured the opposite of what we have proved, and had derived numerous consequences about homotopy groups of spheres.

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Main Theorem

The Arf-Kervaire elements $\theta_j \in \pi_{2^{j+1}-2}(S^0)$ do not exist for $j \geq 7$.

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The θ_j in the theorem is the name given to a hypothetical manifold or map between spheres for which the Arf-Kervaire invariant is nontrivial.

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θ_1 , θ_2 and θ_3 are the squares of the Hopf maps $\eta \in \pi_1$, $\nu \in \pi_3$ and $\sigma \in \pi_7$.

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Here is Hopf's definition of the map $\eta : S^3 \rightarrow S^2$.

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- Think of S^3 as the unit sphere in a 2-dimensional complex vector space \mathbf{C}^2 .

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- For $(z_1, z_2) \in S^3$, define

$$\eta(z_1, z_2) = \begin{cases} z_1/z_2 & \text{for } z_2 \neq 0 \\ \infty & \text{for } z_2 = 0 \end{cases}$$



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- Maps $\nu : S^7 \rightarrow S^4$ and $\sigma : S^{15} \rightarrow S^8$ can be defined in a similar way using quaternions and Cayley numbers or octonions.



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- $\theta_4 \in \pi_{30}$ and $\theta_5 \in \pi_{62}$ were constructed in the '60s and '70s. The latter is the subject of a paper by Barratt-Jones-Mahowald published in 1984.



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- The status of $\theta_6 \in \pi_{126}$ is still open.



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The work of Kervaire and Milnor

Fifty years ago the topological community was startled by two results.

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Milnor's Theorem (1956)

Existence of exotic spheres. *There are manifolds homeomorphic to S^7 but not diffeomorphic to it.*

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Existence of nonsmoothable manifolds. *There is a 10-dimensional topological manifold with no differentiable structure.*

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These theorems are opposite sides of the same coin.

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Let Θ_k denote the group (under connected sum) of diffeomorphism classes of smooth manifolds Σ^k homotopy equivalent to S^k .

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Such a Σ^k , when embedded in Euclidean space, has a (nonunique) framing on its normal bundle and thus represents an element in the framed cobordism group Ω_k^{framed} .

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Such a Σ^k , when embedded in Euclidean space, has a (nonunique) framing on its normal bundle and thus represents an element in the framed cobordism group Ω_k^{framed} . By the Pontrjagin-Thom construction, Ω_k^{framed} is isomorphic to the stable k -stem $\pi_k(S^0)$.

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A k -dimensional framed manifold M (such as Σ^k) can be embedded in a Euclidean space \mathbf{R}^{n+k} in such a way that it has a tubular neighborhood V homeomorphic to $M \times D^n$.

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$$(V, \partial V) \xrightarrow{f_M} (D^n, \partial D^n) \rightarrow D^n / \partial D^n \cong S^n$$

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$$(V, \partial V) \xrightarrow{f_M} (D^n, \partial D^n) \rightarrow D^n / \partial D^n \cong S^n$$

We can extend this map to \mathbf{R}^{n+k} and its one-point compactification S^{n+k} by sending everything outside of V to the base point (or point at ∞) in S^n .

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The resulting map $\tilde{f}_M : S^{n+k} \rightarrow S^n$ represents an element in the homotopy group $\pi_{n+k}(S^n)$, which for large n is isomorphic to the stable k -stem π_k .

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We can extend this map to \mathbf{R}^{n+k} and its one-point compactification S^{n+k} by sending everything outside of V to the base point (or point at ∞) in S^n .

The resulting map $\tilde{f}_M : S^{n+k} \rightarrow S^n$ represents an element in the homotopy group $\pi_{n+k}(S^n)$, which for large n is isomorphic to the stable k -stem π_k . Pontrjagin showed that a cobordism between M_1 and M_2 leads to a homotopy between \tilde{f}_{M_1} and \tilde{f}_{M_2} .

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He also showed the converse:

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He also showed the converse:

- Any map $S^{n+k} \rightarrow S^n$ is homotopic to one associated to a framed k -manifold in this way.



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He also showed the converse:

- Any map $S^{n+k} \rightarrow S^n$ is homotopic to one associated to a framed k -manifold in this way.
- Any homotopy between two such maps is induced by a cobordism.



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- Any map $S^{n+k} \rightarrow S^n$ is homotopic to one associated to a framed k -manifold in this way.
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This means that π_k is isomorphic to the cobordism group of framed k -manifolds.



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Thom used transversality to prove similar theorems in which the framing is replaced by a weaker structure on the normal bundle of a manifold M .



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Thom used transversality to prove similar theorems in which the framing is replaced by a weaker structure on the normal bundle of a manifold M . This is the basis of modern cobordism theory. *He won the Fields Medal for this work in 1958.*



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Two framings on a framed k -manifold $M^k \subset \mathbf{R}^{n+k}$ differ by a map $M^k \rightarrow SO(n)$, the special orthogonal group of $n \times n$ real matrices.

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$$J : \pi_k(SO(n)) \rightarrow \pi_{n+k}(S^n).$$

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Both of these groups are independent of n when n is large, so we get

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The group on the left and its image on the right are known for all k .

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Hence we have a homomorphism

$$\tau_k : \Theta_k \rightarrow \text{coker}_k J = \pi_k(S^0)/\text{im } J$$

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Hence we have a homomorphism

$$\tau_k : \Theta_k \rightarrow \text{coker}_k J = \pi_k(S^0)/\text{im } J$$

It sends an exotic sphere Σ^k to its framed cobordism class, modulo the indeterminacy related to the nonuniqueness of the framing.

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An element in the kernel of τ_k is represented by an exotic sphere Σ^k bounding a framed manifold M^{k+1} .

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An element in the kernel of τ_k is represented by an exotic sphere Σ^k bounding a framed manifold M^{k+1} . Milnor's original Σ^7 was such an example, bounding a D^4 -bundle over S^4 , which can be framed.

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An element in the cokernel of τ_k is a framed k -manifold which is not framed cobordant to a sphere.

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We are studying the homomorphism

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We are studying the homomorphism

$$\tau_k : \Theta_k \rightarrow \text{coker}_k J = \pi_k(S^0)/\text{im } J$$

There are framings on $S^1 \times S^1$, $S^3 \times S^3$ and $S^7 \times S^7$ which are not framed cobordant to spheres.



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Let $\eta : S^3 \rightarrow S^2$ be the Hopf map and consider the composite

$$S^4 \xrightarrow{\Sigma\eta} S^3 \xrightarrow{\eta} S^2.$$



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Let $\eta : S^3 \rightarrow S^2$ be the Hopf map and consider the composite

$$S^4 \xrightarrow{\Sigma\eta} S^3 \xrightarrow{\eta} S^2.$$

The preimage of a typical point in S^2 is an exotically framed torus $S^1 \times S^1$ in S^4 .



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Let M^n be a framed manifold, either closed or bounded by a sphere Σ^{n-1} .

By using surgery (which was originally invented for this purpose!) one can convert M to another framed manifold in the same cobordism class which is roughly $n/2$ -connected, without disturbing the boundary.

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Let M^n be a framed manifold, either closed or bounded by a sphere Σ^{n-1} .

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When n is odd, we can surger M^n into a sphere Σ^n or a disk D^n , whose boundary must be an ordinary sphere S^{n-1} .

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This implies $\tau_k : \Theta_k \rightarrow \text{coker}_k J$ is onto when k is odd and one-to-one when k is even.

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Obstructions in the middle dimension

When $n = 2m$, we can surger our framed manifold M^{2m} into an $(m - 1)$ -connected manifold, but we may not be able to get rid of $H^m(M)$.

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Obstructions in the middle dimension

When $n = 2m$, we can surger our framed manifold M^{2m} into an $(m - 1)$ -connected manifold, but we may not be able to get rid of $H^m(M)$.

When $m = 2\ell$ is even, the cup product gives us a pairing

$$H^{2\ell}(M; \mathbf{Z}) \otimes H^{2\ell}(M; \mathbf{Z}) \rightarrow H^{4\ell}(M, \partial M; \mathbf{Z})$$

represented by a symmetric unimodular matrix B with even diagonal entries.

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Such matrices have been classified over the real numbers up to the appropriate equivalence relation.

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represented by a symmetric unimodular matrix B with even diagonal entries.

Such matrices have been classified over the real numbers up to the appropriate equivalence relation. The key invariant is the signature $\sigma(B)$, the difference between the number of positive and negative eigenvalues over \mathbf{R} , which is always divisible by 8.

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An interesting matrix

Here is a symmetric matrix with even diagonal entries and signature 8.

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An interesting matrix

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Here is a symmetric matrix with even diagonal entries and signature 8.

$$B = \begin{bmatrix} 2 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\ -1 & 2 & -1 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 2 & -1 & 0 & 0 & 0 & -1 \\ 0 & 0 & -1 & 2 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 2 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 2 & -1 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 & 2 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 & 0 & 2 \end{bmatrix}$$



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The Dynkin diagram for E_8

The matrix on the previous page is related to the following Dynkin diagram.

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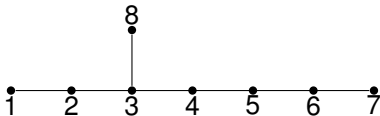
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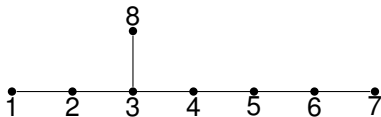
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The Dynkin diagram for E_8

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The nodes on the graph correspond to the rows/columns of the matrix.

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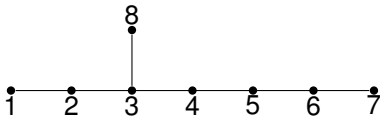
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The Dynkin diagram for E_8

The matrix on the previous page is related to the following Dynkin diagram.



The nodes on the graph correspond to the rows/columns of the matrix.

Nodes i and j are connected by an edge iff $b_{i,j} \neq 0$.

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The Hirzebruch signature theorem relates the signature of a smooth oriented closed 4ℓ -manifold M to its Pontrjagin numbers.

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The Hirzebruch signature theorem relates the signature of a smooth oriented closed 4ℓ -manifold M to its Pontrjagin numbers.

If M is framed (as in our case), its Pontrjagin numbers and therefore its signature vanish.

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The Hirzebruch signature theorem relates the signature of a smooth oriented closed 4ℓ -manifold M to its Pontrjagin numbers.

If M is framed (as in our case), its Pontrjagin numbers and therefore its signature vanish. This means when M is closed we can surger it into a sphere, so $\tau_{4\ell}$ is onto.



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If our $M^{4\ell}$ is bounded by a sphere diffeomorphic to $S^{4\ell-1}$, then we can close M by attached a 4ℓ -ball. We get a new manifold $N^{4\ell}$ that is framed at every point except the center of that ball.



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Such a manifold is said to be *almost framed*.



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The signature of an almost framed manifold

Hirzebruch's formula implies that our signature $\sigma(N^{4\ell})$ is divisible by a certain integer related to the numerator of a Bernoulli number.

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The signature of an almost framed manifold

Hirzebruch's formula implies that our signature $\sigma(N^{4\ell})$ is divisible by a certain integer related to the numerator of a Bernoulli number. For $\ell = 2$, this integer is $224 = 8 \cdot 28$.

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On the other hand, there is a way to construct a framed 4ℓ -manifold bounded by a sphere $\Sigma^{4\ell-1}$ such that $\sigma(B)$ is any multiple of 8. This gives us 28 distinct differentiable structures on S^7 .

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The kernel of $\tau_{4\ell-1}$ is a large cyclic group whose order was determined by Kervaire-Milnor.



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To recap, we have a homomorphism

$$\tau_k : \Theta_k \rightarrow \text{coker}_k J$$



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To recap, we have a homomorphism

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It is onto when k is odd or divisible by 4.



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To recap, we have a homomorphism

$$\tau_k : \Theta_k \rightarrow \text{coker}_k J$$

It is onto when k is odd or divisible by 4.

It is one-to-one when k is even, and has a known kernel when $k = 4\ell - 1$.



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We have not yet discussed the kernel for $k = 4\ell + 1$ or the cokernel for $k = 4\ell + 2$.



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It is one-to-one when k is even, and has a known kernel when $k = 4\ell - 1$.

We have not yet discussed the kernel for $k = 4\ell + 1$ or the cokernel for $k = 4\ell + 2$.

It turns out that the two groups are related. For each ℓ , one is trivial iff the other is $\mathbf{Z}/2$.



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Framed $4\ell + 2$ -manifolds

We have a framed $4\ell + 2$ -manifold, possibly bounded by a sphere $\Sigma^{4\ell+1}$. We can surger it into a 2ℓ -connected manifold.

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We have a framed $4\ell + 2$ -manifold, possibly bounded by a sphere $\Sigma^{4\ell+1}$. We can surger it into a 2ℓ -connected manifold. We have a pairing

$$H^{2\ell+1}(M; \mathbf{Z}/2) \otimes H^{2\ell+1}(M; \mathbf{Z}/2) \rightarrow H^{4\ell+2}(M, \partial M; \mathbf{Z}/2)$$

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Evaluation on the fundamental class gives us a quadratic form

$$\lambda : H^{2\ell+1} \otimes H^{2\ell+1} \rightarrow \mathbf{Z}/2.$$

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Evaluation on the fundamental class gives us a quadratic form

$$\lambda : H^{2\ell+1} \otimes H^{2\ell+1} \rightarrow \mathbf{Z}/2.$$

There is a map (not a homomorphism) $\mu : H^{2\ell+1} \rightarrow \mathbf{Z}/2$ such that

$$\lambda(x, y) = \mu(x) + \mu(y) + \mu(x + y).$$

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This map $\mu : H^{2\ell+1}(M; \mathbf{Z}/2) \rightarrow \mathbf{Z}/2$ is either 1 most of the time or 0 most of the time.

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The Arf invariant

This map $\mu : H^{2\ell+1}(M; \mathbf{Z}/2) \rightarrow \mathbf{Z}/2$ is either 1 most of the time or 0 most of the time.

This value is its *Arf invariant* $\Phi(M)$, which is the obstruction to doing surgery in the middle dimension.

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This value is its *Arf invariant* $\Phi(M)$, which is the obstruction to doing surgery in the middle dimension.

The *Arf-Kervaire invariant* $\Phi(M)$ of a framed $(4\ell + 2)$ -manifold is defined to be the Arf invariant of its quadratic form.

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Is there a closed framed $(4\ell + 2)$ -manifold with nontrivial Arf-Kervaire invariant?

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The Arf-Kervaire invariant question

Is there a closed framed $(4\ell + 2)$ -manifold with nontrivial Arf-Kervaire invariant?

If there is, then $\tau_{4\ell+2}$ has a cokernel of order 2 and $\tau_{4\ell+1}$ is one-to-one.

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Is there a closed framed $(4\ell + 2)$ -manifold with nontrivial Arf-Kervaire invariant?

If there is, then $\tau_{4\ell+2}$ has a cokernel of order 2 and $\tau_{4\ell+1}$ is one-to-one.

If there is not, then $\tau_{4\ell+1}$ has a kernel of order 2 and $\tau_{4\ell+2}$ is onto.



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Is there a closed framed $(4\ell + 2)$ -manifold with nontrivial Arf-Kervaire invariant?

If there is, then $\tau_{4\ell+2}$ has a cokernel of order 2 and $\tau_{4\ell+1}$ is one-to-one.

If there is not, then $\tau_{4\ell+1}$ has a kernel of order 2 and $\tau_{4\ell+2}$ is onto.

Kervaire answered the question in the negative for $\ell = 2$.



Background and history

- Exotic spheres
- The Pontrjagin-Thom construction
- The J -homomorphism
- The use of surgery
- The Hirzebruch signature theorem

The Arf invariant

- Browder's theorem

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- The Adams spectral sequence
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The Arf-Kervaire invariant question

A solution to the
Arf-Kervaire invariant
problem

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Kervaire answered the question in the negative for $\ell = 2$. He constructed a framed 10-manifold bounded by an exotic 9-sphere. By coning off its boundary, he got his nonsmoothable closed topological 10-manifold.



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Enter stable homotopy theory

Algebraic topologists attacked this question vigorously in the 1960s. The best result was the following.

A solution to the
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Browder's Theorem (1969)

Relation to the Adams spectral sequence. *A framed $(4\ell + 2)$ -manifold with nontrivial Arf-Kervaire invariant can exist only when $\ell = 2^{j-1} - 1$ for some integer j .*



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Browder's Theorem (1969)

Relation to the Adams spectral sequence. *A framed $(4\ell + 2)$ -manifold with nontrivial Arf-Kervaire invariant can exist only when $\ell = 2^{j-1} - 1$ for some integer j . In that case it exists iff the Adams spectral sequence element*

$$h_j^2 \in E_2^{2,2^{j+1}} = \text{Ext}_A^{2,2^{j+1}}(\mathbf{Z}/2, \mathbf{Z}/2)$$

is a permanent cycle.



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The classical Adams spectral sequence

Here A denotes the mod 2 Steenrod algebra and

$$h_j \in E_2^{2,2^j} = \text{Ext}_A^{1,2^j}(\mathbf{Z}/2, \mathbf{Z}/2)$$

is the element corresponding to Sq^{2^j} .

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θ_j denotes any element in $\pi_{2^{j+1}-2}$ that is detected by h_j^2 .

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Adams showed that h_j is a permanent cycle only for $0 \leq j \leq 3$.

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For $j \geq 4$ there is a nontrivial differential

$$d_2(h_j) = h_0 h_{j-1}^2.$$

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The classical Adams spectral sequence (continued)

Here is a picture of the Adams spectral sequence for the prime 2 in low dimensions.

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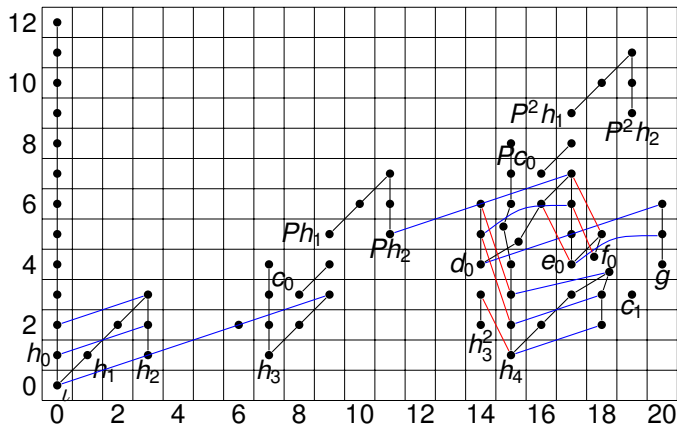
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The classical Adams spectral sequence (continued)

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Spectral sequences in stable homotopy theory

Spectral sequences have been used to study the stable homotopy groups of spheres for the past 50 years.

A solution to the
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The classical Adams spectral sequence of the previous slide is based on ordinary mod 2 cohomology and the Steenrod algebra and was introduced by Adams in 1959.



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It is possible to use other cohomology or homology theories for the same purpose.



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Complex cobordism theory has proven to be extremely useful.



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Complex cobordism theory has proven to be extremely useful. The corresponding spectral sequence was first studied by Novikov in 1967 and is known as the *Adams-Novikov spectral sequence*.



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The Adams-Novikov spectral sequence for $p = 2$ in low dimensions

It is helpful to separate it into two parts having to do with v_1 -periodic and v_1 -torsion elements. These are related to the image and cokernel of J .

A solution to the
Arf-Kervaire invariant
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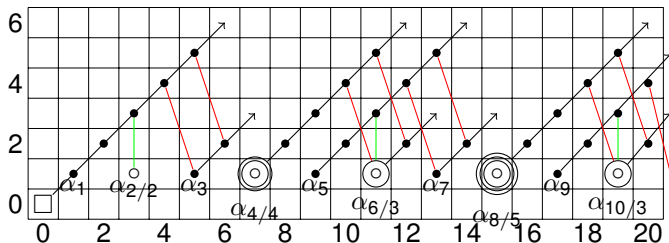
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Here is the v_1 -periodic part.



A solution to the
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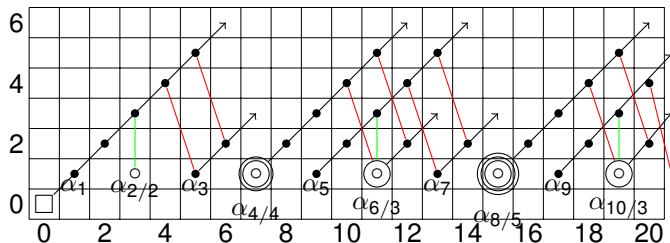
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Here is the v_1 -periodic part.



The box indicates a copy of $\mathbf{Z}_{(2)}$.

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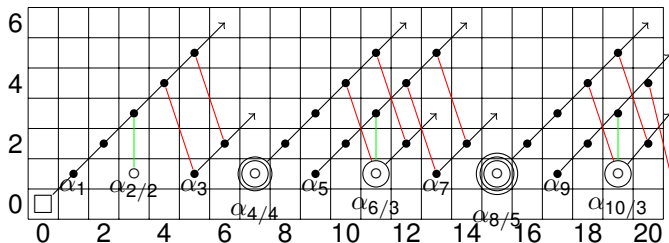
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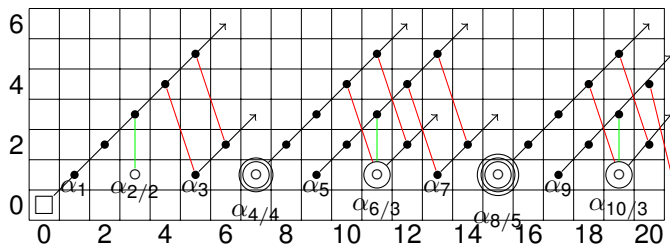
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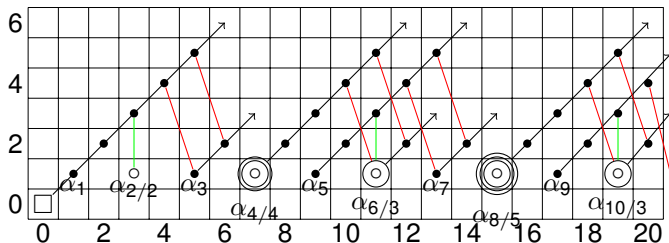
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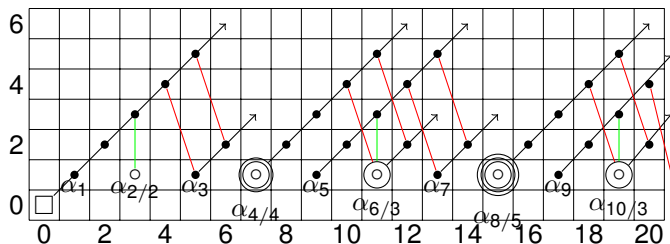
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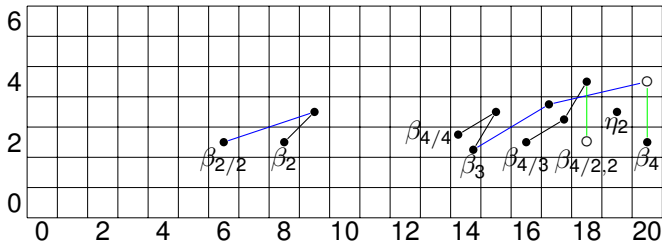
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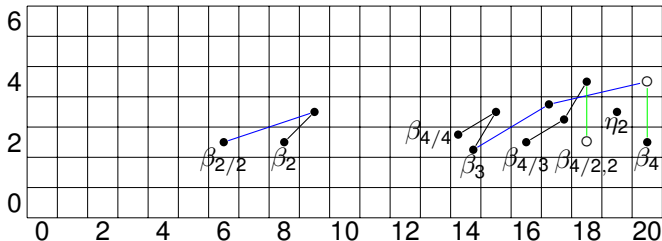
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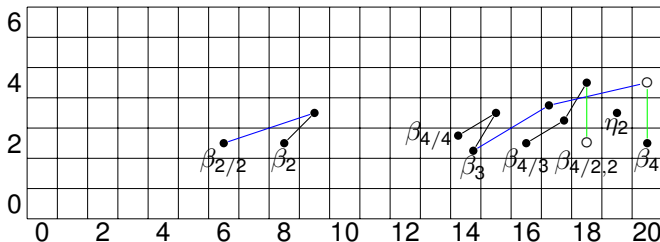
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$$\beta_{2/2} = h_2^2 = \theta_2 \text{ and } \beta_{4/4} = h_3^2 = \theta_3.$$

The Adams-Novikov spectral sequence for $p = 2$ in low dimensions

Here is the v_1 -torsion part.



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Color coding is as before.

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Here is the ν_1 -torsion part.



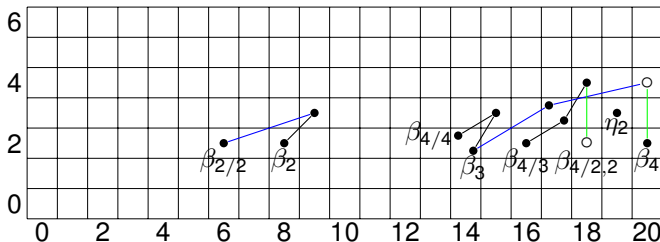
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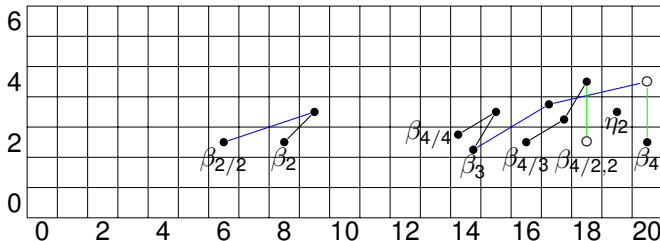
Color coding is as before. **Blue lines** indicate multiplication by $\nu = h_2 = \alpha_{2,2/2}$.

The Adams-Novikov spectral sequence for $p = 2$ in low dimensions

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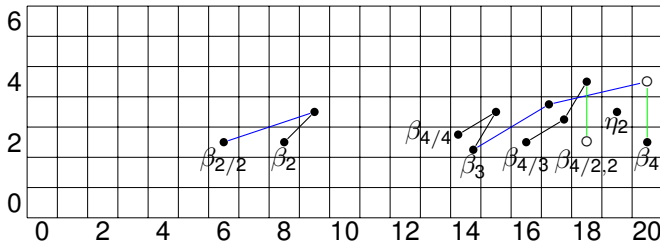


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The first differential in this spectral sequence occurs in dimension 26.

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The Arf-Kervaire invariant in the Adams-Novikov spectral sequence

These spectral sequences are very complicated and have been studied very closely.

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- The Adams spectral sequence
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Our strategy

The Arf-Kervaire invariant in the Adams-Novikov spectral sequence

These spectral sequences are very complicated and have been studied very closely. Fortunately our proof does not involve these complexities.

A solution to the
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The Arf-Kervaire invariant question translates to the following:

In the Adams-Novikov spectral sequence, is the element $\theta_j = \beta_{2^{j-1}/2^{j-1}} \in E_2^{2,2^{j+1}}$ a permanent cycle?



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The Arf-Kervaire invariant question translates to the following:

In the Adams-Novikov spectral sequence, is the element $\theta_j = \beta_{2j-1}/2^{j-1} \in E_2^{2,2^{j+1}}$ a permanent cycle?

It cannot be the target of a differential because its filtration is too low.



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It cannot be the target of a differential because its filtration is too low. We will show that it is the source of a nontrivial differential for $j \geq 7$.



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We will produce a map $S^0 \rightarrow M$, where M is a nonconnective spectrum with the following properties.

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We will produce a map $S^0 \rightarrow M$, where M is a nonconnective spectrum with the following properties.

- (i) It has an Adams-Novikov spectral sequence in which the image of each θ_j is nontrivial.

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We will produce a map $S^0 \rightarrow M$, where M is a nonconnective spectrum with the following properties.

- (i) It has an Adams-Novikov spectral sequence in which the image of each θ_j is nontrivial.
- (ii) It is 256-periodic, meaning $\Sigma^{256}M \cong M$.

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- (i) It has an Adams-Novikov spectral sequence in which the image of each θ_j is nontrivial.
- (ii) It is 256-periodic, meaning $\Sigma^{256}M \cong M$.
- (iii) $\pi_{-2}(M) = 0$.

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 - (iii) $\pi_{-2}(M) = 0$.
- (ii) and (iii) imply that $\pi_{254}(M) = 0$.

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If θ_7 exists, (i) implies it has a nontrivial image in this group, so it cannot exist.



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If θ_7 exists, (i) implies it has a nontrivial image in this group, so it cannot exist.

The argument for θ_j for larger j is similar, since $|\theta_j| = 2^{j+1} - 2 \equiv -2 \pmod{256}$ for $j \geq 7$.



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