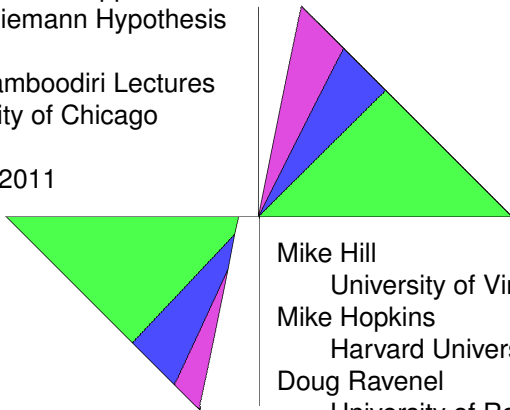


An equivariant approach to the Riemann Hypothesis

Unni Namboodiri Lectures
University of Chicago

April 1, 2011



Mike Hill
University of Virginia
Mike Hopkins
Harvard University
Doug Ravenel
University of Rochester

A solution to the
Art-Kervaire invariant
problem

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Mike Hopkins
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Abstract: We start by extending the Riemann zeta function from CP^1 (the complex projective line, which is the same thing as the Riemann sphere) to CP^∞ , the infinite dimensional complex projective space, via multiplication.

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The object is to show that all nontrivial zeros have first coordinate on the critical line. The group C_2 acts by complex conjugation. Using the functional equation we can modify the zeta function to get a new function Λ that is symmetric about the critical line. This leads to an action of $G = C_2 \times C_2$ on CP^∞ for which modified zeta function is equivariant.

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We can extend this function to the complex cobordism spectrum MU (which also gets a G -action in this way) by considering higher derivatives of Λ .

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An equivariant approach to the Riemann Hypothesis (continued)

We can extend this function to the complex cobordism spectrum MU (which also gets a G -action in this way) by considering higher derivatives of Λ . A theorem of Bombieri states that a zero off the critical line leads to an essential map from $CP^{2^i+2^j-1}$ to the fixed point spectrum MU^G , where i and j depend on the moments of the zero in question.

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Hence the problem is very similar to the Kervaire invariant question except that the group involved is not cyclic.

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Hence the problem is very similar to the Kervaire invariant question except that the group involved is not cyclic. The Slice Theorem (to be explained below) still holds, but the slices themselves are more complicated because of the bigger group. Using the techniques we have developed in the cyclic case, there is a good chance we can do the necessary calculations here and arrive at a similar proof.

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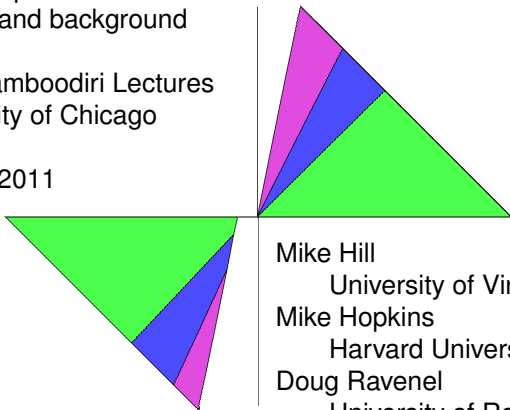
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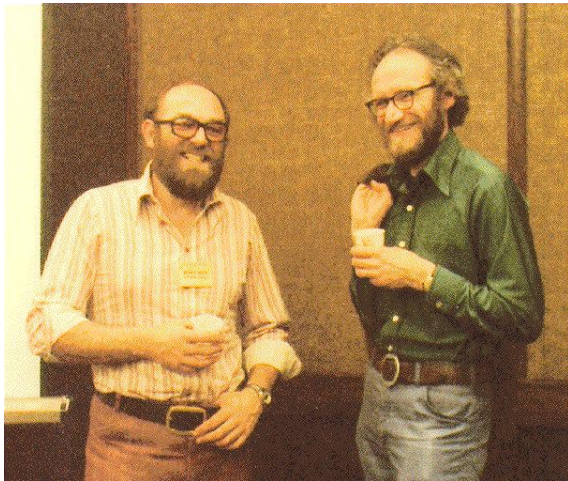
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Vic Snaith and Bill Browder in 1981
Photo by Clarence Wilkerson

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A wildly popular dance craze



Drawing by Carolyn Snaith 1981
London, Ontario

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Mike Hill, myself and Mike Hopkins
Photo taken by Bill Browder
February 11, 2010

Our main result

Our main theorem can be stated in three different but equivalent ways:

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Our main theorem can be stated in three different but equivalent ways:

- **Manifold formulation:** It says that a certain geometrically defined invariant $\Phi(M)$ (the Arf-Kervaire invariant, to be defined later) on certain manifolds M is always zero.

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The problem solved by our theorem is nearly 50 years old.

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Stable Homotopy Around the Arf-Kervaire Invariant, published in early 2009,

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“As ideas for progress on a particular mathematics problem atrophy it can disappear.

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“As ideas for progress on a particular mathematics problem atrophy it can disappear. Accordingly I wrote this book to stem the tide of oblivion.”

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Snaith's book (continued)



“For a brief period overnight we were convinced that we had the method to make all the sought after framed manifolds

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Snaith's book (continued)



“For a brief period overnight we were convinced that we had the method to make all the sought after framed manifolds- a feeling which must have been shared by many topologists working on this problem. All in all, the temporary high of believing that one had the construction was sufficient to maintain in me at least an enthusiastic spectator’s interest in the problem.”

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Snaith's book (continued)



“In the light of the above conjecture and the failure over fifty years to construct framed manifolds of Arf-Kervaire invariant one

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“In the light of the above conjecture and the failure over fifty years to construct framed manifolds of Arf-Kervaire invariant one this might turn out to be a book about things which do not exist. This [is] why the quotations which preface each chapter contain a preponderance of utterances from the pen of Lewis Carroll.”

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Here is the stable homotopy theoretic formulation.

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Here is the stable homotopy theoretic formulation.

Main Theorem

The Arf-Kervaire elements $\theta_j \in \pi_{2^{j+1}-2+n}(S^n)$ for large n do not exist for $j \geq 7$.

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The θ_j in the theorem is the name given to a hypothetical map between spheres for which the Arf-Kervaire invariant is nontrivial.

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The θ_j in the theorem is the name given to a hypothetical map between spheres for which the Arf-Kervaire invariant is nontrivial. It follows from Browder's theorem of 1969 that such things can exist only in dimensions that are 2 less than a power of 2.

Our main result (continued)



Mark Mahowald

Some homotopy theorists, most notably Mahowald, speculated about what would happen if θ_j existed for all j .

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Some homotopy theorists, most notably Mahowald, speculated about what would happen if θ_j existed for all j . He derived numerous consequences about homotopy groups of spheres.

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Mark Mahowald

Some homotopy theorists, most notably Mahowald, speculated about what would happen if θ_j existed for all j . He derived numerous consequences about homotopy groups of spheres. The possible nonexistence of the θ_j for large j was known as the **Doomsday Hypothesis**.

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After 1980, the problem faded into the background because it was thought to be too hard.

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After 1980, the problem faded into the background because it was thought to be too hard. Our proof is two giant steps away from anything that was attempted in the 70s. We now know that the world of homotopy theory is very different from what they had envisioned then.

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Lev Pontryagin 1908-1988

Pontryagin's approach to maps $f : S^{n+k} \rightarrow S^n$ was

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Pontryagin's approach to maps $f : S^{n+k} \rightarrow S^n$ was

- Assume f is smooth.

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Lev Pontryagin 1908-1988

Pontryagin's approach to maps $f : S^{n+k} \rightarrow S^n$ was

- Assume f is smooth. We know that any such map is can be continuously deformed to a smooth one.

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- Assume f is smooth. We know that any such map can be continuously deformed to a smooth one.
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- Assume f is smooth. We know that any such map can be continuously deformed to a smooth one.
- Pick a regular value $y \in S^n$. Its inverse image will be a smooth k -manifold M in S^{n+k} .
- By studying such manifolds, Pontryagin was able to deduce things about maps between spheres.

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Let D^n be the closure of an open ball around a regular value $y \in S^n$.

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Let D^n be the closure of an open ball around a regular value $y \in S^n$. If it is sufficiently small, then $V^{n+k} = f^{-1}(D^n) \subset S^{n+k}$ is an $(n+k)$ -manifold homeomorphic to $M \times D^n$ with boundary homeomorphic to $M \times S^{n-1}$.

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A local coordinate system around around the point $y \in S^n$ pulls back to one around M called a [framing](#).

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A local coordinate system around around the point $y \in S^n$ pulls back to one around M called a [framing](#).

There is a way to reverse this procedure.

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Let D^n be the closure of an open ball around a regular value $y \in S^n$. If it is sufficiently small, then $V^{n+k} = f^{-1}(D^n) \subset S^{n+k}$ is an $(n+k)$ -manifold homeomorphic to $M \times D^n$ with boundary homeomorphic to $M \times S^{n-1}$.

A local coordinate system around around the point $y \in S^n$ pulls back to one around M called a **framing**.

There is a way to reverse this procedure. A framed manifold $M^k \subset S^{n+k}$ determines a map $f : S^{n+k} \rightarrow S^n$.

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To proceed further, we need to be more precise about what we mean by continuous deformation.

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To proceed further, we need to be more precise about what we mean by continuous deformation.

Two maps $f_1, f_2 : S^{n+k} \rightarrow S^n$ are **homotopic** if there is a continuous map $h : S^{n+k} \times [0, 1] \rightarrow S^n$ (called a **homotopy between f_1 and f_2**) such that

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$$h(x, 0) = f_1(x) \quad \text{and} \quad h(x, 1) = f_2(x).$$

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If $y \in S^n$ is a regular value of h , then $h^{-1}(y)$ is a framed $(k+1)$ -manifold $N \subset S^{n+k} \times [0, 1]$

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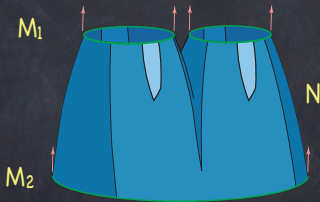
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Here is an example of a framed cobordism for $n = k = 1$.

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Framed cobordism

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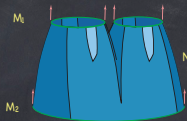
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$$\Omega_k := \{\text{stably framed } k\text{-manifolds}\} / \text{cobordism}$$

Theorem: The above construction gives a bijection

$$\pi_{n+k}(S^n) \approx \Omega_k$$

where

$$\pi_{n+k}(S^n) := \{\text{maps } S^{n+k} \rightarrow S^n\} / \text{homotopy}$$

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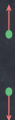
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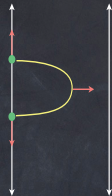
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$$\pi_n(S^n) = \mathbb{Z}$$

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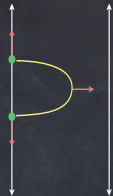
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$$\pi_n(S^n) = \mathbb{Z}$$

(the degree)

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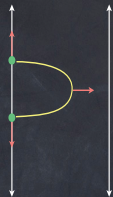
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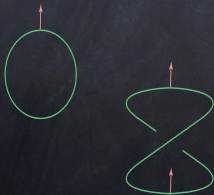
$k=0$



$$\pi_n(S^n) = \mathbb{Z}$$

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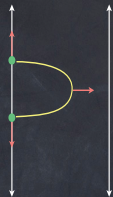
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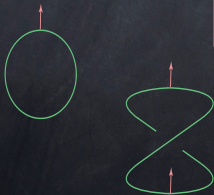
$k=0$



$$\pi_n(S^n) = \mathbb{Z}$$

(the degree)

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$$\pi_{n+1}(S^n) = \mathbb{Z}/2$$

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$k=2$ genus $M = 0 \Rightarrow M$ is a boundary

(since S^2 bounds a disk and
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Pontryagin (1930's)

$k=2$ genus $M = 0 \Rightarrow M$ is a boundary

(since S^2 bounds a disk and
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Suppose the genus of M is
greater than 0.

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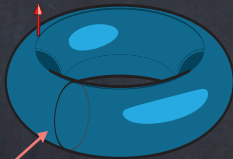
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Pontryagin (1930's)

$k=2$



choose an
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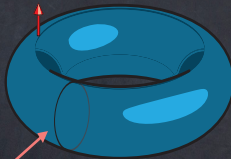
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choose an
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cut the surface open
and glue in disks

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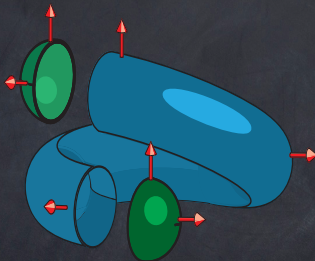
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framed surgery

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Obstruction: $\varphi : H_1(M; \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$

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Argument: Since the dimension of $H_1(M; \mathbb{Z}/2)$ is even, there is always a non-zero element in the kernel of φ , and so surgery can be performed.

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Conclusion: $\Omega_2 = \pi_{n+2}(S^n) = 0$.

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The map $\varphi : H_1(M; \mathbf{Z}/2) \rightarrow \mathbf{Z}/2$ is **not** a homomorphism!

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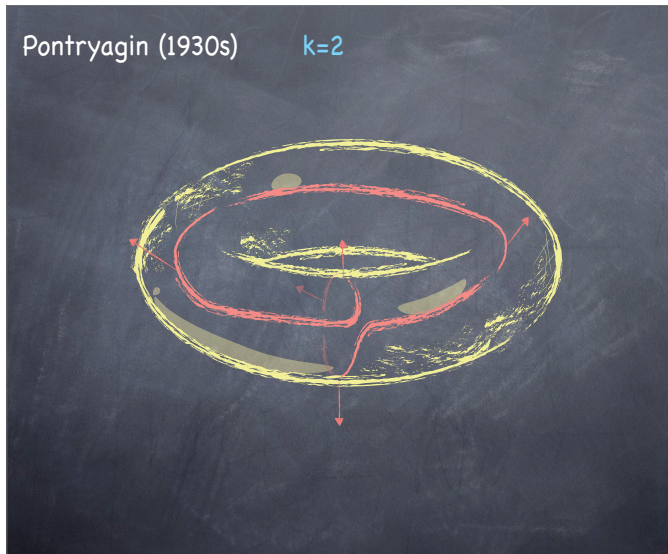
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Tuesday, April 21, 2009

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The Arf invariant of a quadratic form in characteristic 2

Let λ be a nonsingular anti-symmetric bilinear form on a free abelian group H of rank $2n$ with mod 2 reduction \overline{H} .

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The Arf invariant of a quadratic form in characteristic 2

Let λ be a nonsingular anti-symmetric bilinear form on a free abelian group H of rank $2n$ with mod 2 reduction \overline{H} . It is known that \overline{H} has a basis of the form $\{a_i, b_i : 1 \leq i \leq n\}$ with

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$$\lambda(a_i, a_{i'}) = 0 \quad \lambda(b_j, b_{j'}) = 0 \quad \text{and} \quad \lambda(a_i, b_j) = \delta_{i,j}.$$

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$$\lambda(a_i, a_{i'}) = 0 \quad \lambda(b_j, b_{j'}) = 0 \quad \text{and} \quad \lambda(a_i, b_j) = \delta_{i,j}.$$

In other words, \overline{H} has a basis for which the bilinear form's matrix has the symplectic form

$$\begin{bmatrix} 0 & 1 & & & & & & & & & & \\ 1 & 0 & & & & & & & & & & \\ & & 0 & 1 & & & & & & & & \\ & & 1 & 0 & & & & & & & & \\ & & & & \ddots & & & & & & & \\ & & & & & & 0 & 1 & & & & \\ & & & & & & 1 & 0 & & & & \end{bmatrix}.$$



The Arf invariant of a quadratic form in characteristic 2 (continued)

A quadratic refinement of λ is a map $q : \bar{H} \rightarrow \mathbf{Z}/2$ satisfying

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A quadratic refinement of λ is a map $q : \bar{H} \rightarrow \mathbf{Z}/2$ satisfying

$$q(x + y) = q(x) + q(y) + \lambda(x, y)$$

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A quadratic refinement of λ is a map $q : \bar{H} \rightarrow \mathbf{Z}/2$ satisfying

$$q(x + y) = q(x) + q(y) + \lambda(x, y)$$

Its Arf invariant is

$$\text{Arf}(q) = \sum_{i=1}^n q(a_i)q(b_i) \in \mathbf{Z}/2.$$

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Its Arf invariant is

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In 1941 Arf proved that this invariant (along with the number n) determines the isomorphism type of q .

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Money talks: Arf's definition republished in 2009



Cahit Arf 1910-1997

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The Kervaire invariant of a framed $(4m + 2)$ -manifold

Let M be a $2m$ -connected smooth closed framed manifold of dimension $4m + 2$.

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Let M be a $2m$ -connected smooth closed framed manifold of dimension $4m + 2$. Let $H = H_{2m+1}(M; \mathbf{Z})$, the homology group in the middle dimension.

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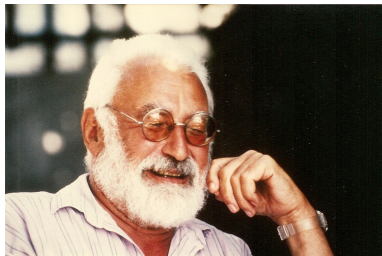
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Michel Kervaire 1927-2007

Kervaire defined a quadratic refinement q on its mod 2 reduction in terms of each sphere's normal bundle.

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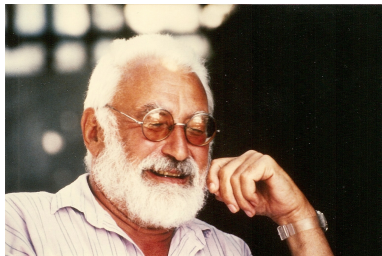
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Kervaire defined a quadratic refinement q on its mod 2 reduction in terms of each sphere's normal bundle. The **Kervaire invariant** $\Phi(M)$ is defined to be the Arf invariant of q .

For $m = 0$, **Kervaire's q** coincides with Pontryagin's φ .

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What can we say about $\Phi(M)$?

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What can we say about $\Phi(M)$?

- For $m = 0$ there is a framing on the torus $S^1 \times S^1 \subset \mathbf{R}^4$ with nontrivial Kervaire invariant.

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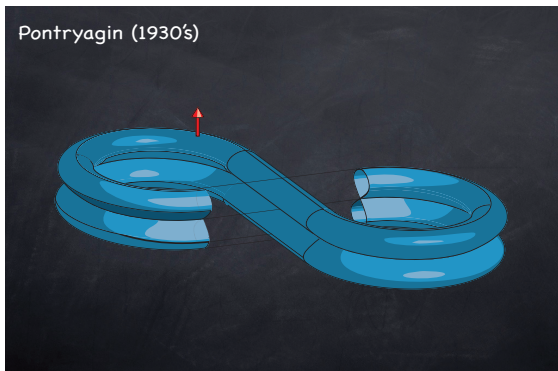
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More of what we can say about $\Phi(M)$.

- Kervaire (1960) showed it must vanish when $m = 2$.

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More of what we can say about $\Phi(M)$.

- Kervaire (1960) showed it must vanish when $m = 2$. This enabled him to construct the first example of a topological manifold (of dimension 10) without a smooth structure.

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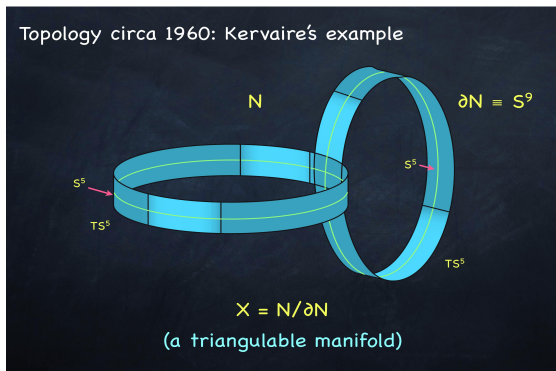
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More of what we can say about $\Phi(M)$.

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More of what we can say about $\Phi(M)$.



Ed Brown



Frank Peterson
1930-2000

Brown-Peterson (1966) showed that it vanishes for all positive even m .

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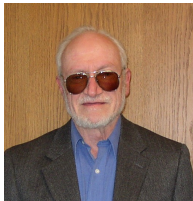
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- Browder (1969) showed that it can be nontrivial only if $m = 2^{j-1} - 1$ for some positive integer j .



Bill Browder

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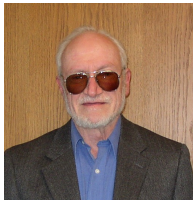
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More of what we can say about $\Phi(M)$.

- Browder (1969) showed that it can be nontrivial only if $m = 2^{j-1} - 1$ for some positive integer j . This happens iff the element h_j^2 is a permanent cycle in the Adams spectral sequence.



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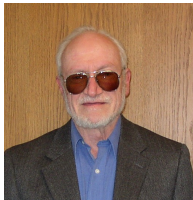
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The Kervaire invariant of a framed $(4m + 2)$ -manifold (continued)

More of what we can say about $\Phi(M)$.

•



Bill Browder

Browder (1969) showed that it can be nontrivial only if $m = 2^{j-1} - 1$ for some positive integer j . This happens iff the element h_j^2 is a permanent cycle in the Adams spectral sequence. The corresponding element in $\pi_{n+2^{j+1}-2}(S^n)$ for large n is θ_j , the subject of our theorem.

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More of what we can say about $\Phi(M)$.

-



Bill Browder

Browder (1969) showed that it can be nontrivial only if $m = 2^{j-1} - 1$ for some positive integer j . This happens iff the element h_j^2 is a permanent cycle in the Adams spectral sequence. The corresponding element in $\pi_{n+2^{j+1}-2}(S^n)$ for large n is θ_j , the subject of our theorem. **This is the stable homotopy theoretic formulation of the problem.**

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- In the decade following Browder's theorem, many topologists tried **without success** to construct framed manifolds with nontrivial Kervaire invariant in **all** dimensions 2 less than a power of 2.
- Our theorem says θ_j does **not** exist for $j \geq 7$. The case $j = 6$ is still open.

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Unstable homotopy theoretic formulation. In 1967 Mahowald published an elaborate conjecture about the role of the θ_j (assuming that they all exist) in the unstable homotopy groups of spheres.

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Our method of proof offers a new tool, **the slice spectral sequence**, for studying the stable homotopy groups of spheres.

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Our method of proof offers a new tool, **the slice spectral sequence**, for studying the stable homotopy groups of spheres. We look forward to learning more with it in the future. **We will illustrate it at the end of the talk.**

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- We use methods of **stable homotopy theory**, which means we use spectra instead of topological spaces.

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- We use methods of **stable homotopy theory**, which means we use spectra instead of topological spaces. Roughly speaking, spectra are to spaces as integers are to natural numbers. Instead of making addition formally invertible, we do the same for suspension.

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This means

- Every spectrum X is equivalent to the suspension of another spectrum $Y = \Sigma^{-1}X$.

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For the sphere spectrum S^0 , $\pi_k(S^0)$ is the usual homotopy group $\pi_{n+k}(S^n)$ for $n > k + 1$. The hypothetical θ_j is an element of this group for $k = 2^{j+1} - 2$.



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More ingredients of our proof:

- We use [complex cobordism theory](#).

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More ingredients of our proof:

- We use **complex cobordism theory**. This is a branch of algebraic topology having deep connections with algebraic geometry and number theory.

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John Milnor



Sergei Novikov



Dan Quillen

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More ingredients of our proof:

- We also make use of newer less familiar methods from **equivariant stable homotopy theory**. This means there is a finite group G (a cyclic 2-group) acting on all spaces in sight, and all maps are required to commute with these actions.

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Peter May



John Greenlees



Gaunce Lewis
1949-2006

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We will produce a map $S^0 \rightarrow \Omega$, where Ω is a nonconnective spectrum (meaning that it has nontrivial homotopy groups in arbitrarily large negative dimensions) with the following properties.

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- (i) **Detection Theorem.** It has an Adams-Novikov spectral sequence (which is a device for calculating homotopy groups) in which the image of each θ_j is nontrivial.

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- (ii) **Periodicity Theorem.** It is 256-periodic, meaning that $\pi_k(\Omega)$ depends only on the reduction of k modulo 256.

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The spectrum Ω

We will produce a map $S^0 \rightarrow \Omega$, where Ω is a nonconnective spectrum (meaning that it has nontrivial homotopy groups in arbitrarily large negative dimensions) with the following properties.

- (i) **Detection Theorem.** It has an Adams-Novikov spectral sequence (which is a device for calculating homotopy groups) in which the image of each θ_j is nontrivial. This means that if θ_j exists, we will see its image in $\pi_*(\Omega)$.
- (ii) **Periodicity Theorem.** It is 256-periodic, meaning that $\pi_k(\Omega)$ depends only on the reduction of k modulo 256.
- (iii) **Gap Theorem.** $\pi_k(\Omega) = 0$ for $-4 < k < 0$.

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Here again are the properties of Ω

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- (ii) and (iii) imply that $\pi_{254}(\Omega) = 0$.

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If $\theta_7 \in \pi_{254}(S^0)$ exists, (i) implies it has a nontrivial image in this group, so it cannot exist.

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If $\theta_7 \in \pi_{254}(S^0)$ exists, (i) implies it has a nontrivial image in this group, so it cannot exist. The argument for θ_j for larger j is similar, since $|\theta_j| = 2^{j+1} - 2 \equiv -2 \pmod{256}$ for $j \geq 7$.

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Our spectrum Ω will be the fixed point spectrum for the action of C_8 (the cyclic group of order 8) on an equivariant spectrum $\tilde{\Omega}$.

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To construct it we start with the complex cobordism spectrum MU .

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Our spectrum Ω will be the fixed point spectrum for the action of C_8 (the cyclic group of order 8) on an equivariant spectrum $\tilde{\Omega}$.

To construct it we start with the complex cobordism spectrum MU . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers.

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Our spectrum Ω will be the fixed point spectrum for the action of C_8 (the cyclic group of order 8) on an equivariant spectrum $\tilde{\Omega}$.

To construct it we start with the complex cobordism spectrum MU . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers. This means that it has an action of C_2 defined by complex conjugation.

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To construct it we start with the complex cobordism spectrum MU . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers. This means that it has an action of C_2 defined by complex conjugation. The fixed point set of this action is the set of real points, known to topologists as MO , the unoriented cobordism spectrum.



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Our spectrum Ω will be the fixed point spectrum for the action of C_8 (the cyclic group of order 8) on an equivariant spectrum $\tilde{\Omega}$.

To construct it we start with the complex cobordism spectrum MU . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers. This means that it has an action of C_2 defined by complex conjugation. The fixed point set of this action is the set of real points, known to topologists as MO , the unoriented cobordism spectrum. In this notation, U and O stand for the unitary and orthogonal groups.

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Peter Landweber



Shoro Araki
1930–2005

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Igor Kriz and Po Hu



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Some people who have studied MU as a C_2 -spectrum:



Peter Landweber



Igor Kriz and Po Hu



Shoro Araki
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Nitu Kitchloo



Steve Wilson

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To get a C_8 -spectrum, we use the following general construction for getting from a space or spectrum X acted on by a group H to one acted on by a larger group G containing H as a subgroup.

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How we construct Ω (continued)

To get a C_8 -spectrum, we use the following general construction for getting from a space or spectrum X acted on by a group H to one acted on by a larger group G containing H as a subgroup. Let

$$Y = \text{Map}_H(G, X),$$

the space (or spectrum) of H -equivariant maps from G to X .

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$$Y = \text{Map}_H(G, X),$$

the space (or spectrum) of H -equivariant maps from G to X . Here the action of H on G is by left multiplication, and the resulting object has an action of G by left multiplication.

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$$Y = \text{Map}_H(G, X),$$

the space (or spectrum) of H -equivariant maps from G to X . Here the action of H on G is by left multiplication, and the resulting object has an action of G by left multiplication. As a set, $Y = X^{|G/H|}$, the $|G/H|$ -fold Cartesian power of X .

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In particular we get a C_8 -spectrum

$$MU_{\mathbf{R}}^{(4)} = \text{Map}_{C_2}(C_8, MU_{\mathbf{R}}).$$

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In particular we get a C_8 -spectrum

$$MU_{\mathbf{R}}^{(4)} = \text{Map}_{C_2}(C_8, MU_{\mathbf{R}}).$$

This spectrum is not periodic, but it has a close relative $\tilde{\Omega}$ which is.

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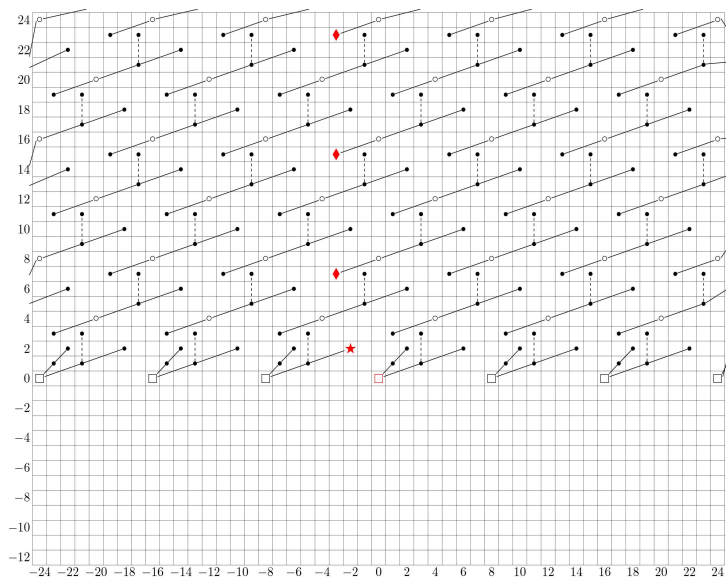
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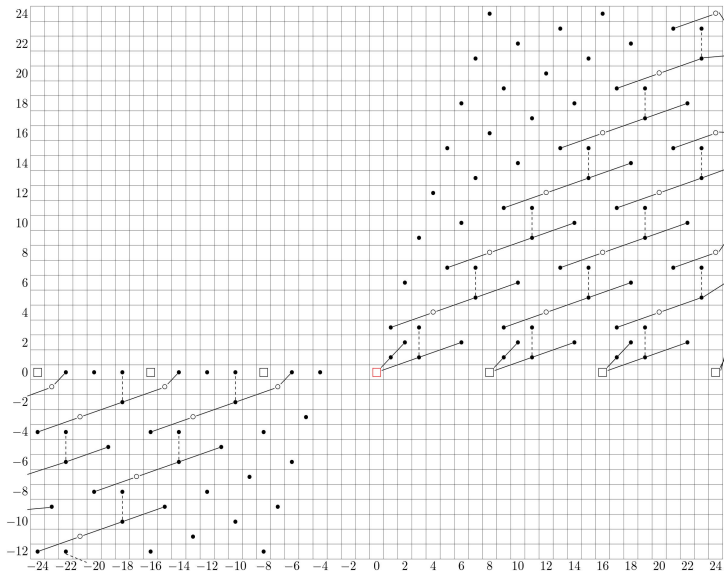
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