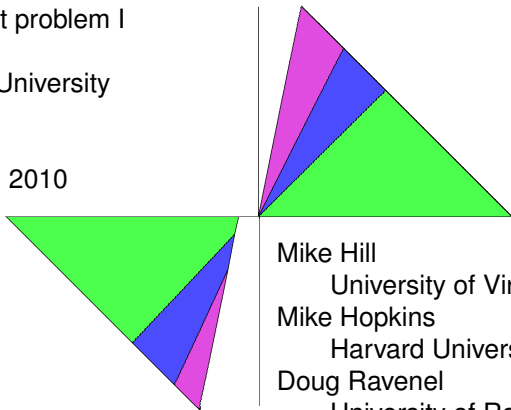


# A solution to the Arf-Kervaire invariant problem I

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May 17, 2010



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A solution to the  
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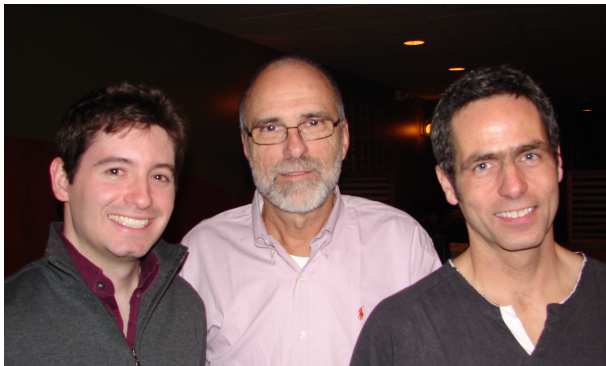


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Mike Hill, myself and Mike Hopkins  
February 11, 2010  
Photo by Bill Browder

# A wildly popular dance craze



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## A wildly popular dance craze



Drawing by Carolyn Snaith 1981  
London, Ontario

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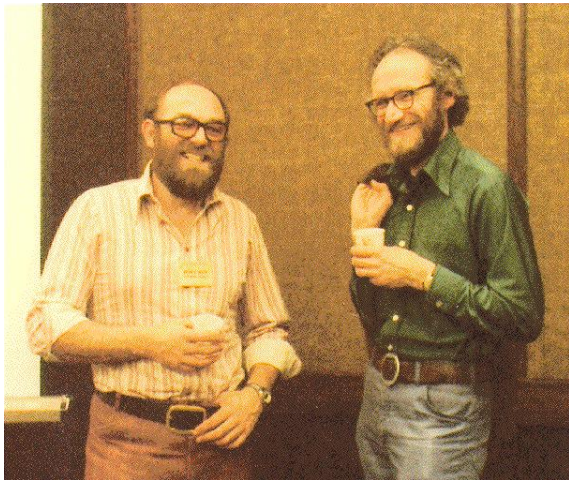


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Vic Snaith and Bill Browder in 1981  
Current Trends in Algebraic Topology Conference  
University of Western Ontario  
Photo by Clarence Wilkerson

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Our main theorem can be stated in three different but equivalent ways:

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## Our main result

Our main theorem can be stated in three different but equivalent ways:

- **Manifold formulation:** It says that a certain geometrically defined invariant  $\Phi(M)$  (the Arf-Kervaire invariant, to be defined later) on certain manifolds  $M$  is always zero.

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The problem solved by our theorem is nearly 50 years old.

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## Snaith's book



*Stable Homotopy Around the Arf-Kervaire Invariant*, published in early 2009,

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“As ideas for progress on a particular mathematics problem atrophy it can disappear.

Mike Hill  
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“As ideas for progress on a particular mathematics problem atrophy it can disappear. Accordingly I wrote this book to stem the tide of oblivion.”

Mike Hill  
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Doug Ravenel



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## Snaith's book (continued)



“For a brief period overnight we were convinced that we had the method to make all the sought after framed manifolds

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## Snaith's book (continued)



“For a brief period overnight we were convinced that we had the method to make all the sought after framed manifolds- a feeling which must have been shared by many topologists working on this problem. All in all, the temporary high of believing that one had the construction was sufficient to maintain in me at least an enthusiastic spectator's interest in the problem.”

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“In the light of the above conjecture and the failure over fifty years to construct framed manifolds of Arf-Kervaire invariant one

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## Snaitch's book (continued)



“In the light of the above conjecture and the failure over fifty years to construct framed manifolds of Arf-Kervaire invariant one this might turn out to be a book about things which do not exist. This [is] why most of the quotations which preface each chapter are from the pen of Lewis Carroll [the mathematician who wrote *Alice in Wonderland*].”

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## Our main result (continued)

Here is the stable homotopy theoretic formulation.

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### Main Theorem

*The Arf-Kervaire elements  $\theta_j \in \pi_{2^{j+1}-2+n}(S^n)$  for large  $n$  do not exist for  $j \geq 7$ .*

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Here  $\pi_k(X)$  (for a positive integer  $k$ ) denotes **the  $k$ th homotopy group of the topological space  $X$** , the set of continuous maps to  $X$  from the  $k$ -sphere  $S^k$ , up to continuous deformation.

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The  $\theta_j$  in the theorem is the name given to a hypothetical map between spheres for which the Arf-Kervaire invariant is nontrivial.

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The  $\theta_j$  in the theorem is the name given to a hypothetical map between spheres for which the Arf-Kervaire invariant is nontrivial. It follows from Browder's theorem of 1969 that such things can exist only in dimensions that are 2 less than a power of 2.

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## Our main result (continued)



Mark Mahowald

Some homotopy theorists, most notably Mahowald, speculated about what would happen if  $\theta_j$  existed for all  $j$ .

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Some homotopy theorists, most notably Mahowald, speculated about what would happen if  $\theta_j$  existed for all  $j$ . They derived numerous consequences about homotopy groups of spheres.

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## Our main result (continued)



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Some homotopy theorists, most notably Mahowald, speculated about what would happen if  $\theta_j$  existed for all  $j$ . They derived numerous consequences about homotopy groups of spheres. The possible nonexistence of the  $\theta_j$  for large  $j$  was known as the **Doomsday Hypothesis**.

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After 1980, the problem faded into the background because it was thought to be too hard.

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After 1980, the problem faded into the background because it was thought to be too hard. Our proof is two giant steps away from anything that was attempted in the 70s.

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Mark Mahowald

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After 1980, the problem faded into the background because it was thought to be too hard. Our proof is two giant steps away from anything that was attempted in the 70s. We now know that the world of homotopy theory is very different from what they had envisioned then.

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# Pontryagin's early work on homotopy groups of spheres



Lev Pontryagin 1908-1988

Pontryagin's approach to maps  $f : S^{n+k} \rightarrow S^n$  was

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# Pontryagin's early work on homotopy groups of spheres



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Pontryagin's approach to maps  $f : S^{n+k} \rightarrow S^n$  was

- Assume  $f$  is smooth.

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## Pontryagin's early work on homotopy groups of spheres



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Pontryagin's approach to maps  $f : S^{n+k} \rightarrow S^n$  was

- Assume  $f$  is smooth. We know that any such map is can be continuously deformed to a smooth one.

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Lev Pontryagin 1908-1988

Pontryagin's approach to maps  $f : S^{n+k} \rightarrow S^n$  was

- Assume  $f$  is smooth. We know that any such map is can be continuously deformed to a smooth one.
- Pick a regular value  $y \in S^n$ .

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# Pontryagin's early work on homotopy groups of spheres



Lev Pontryagin 1908-1988

Pontryagin's approach to maps  $f : S^{n+k} \rightarrow S^n$  was

- Assume  $f$  is smooth. We know that any such map can be continuously deformed to a smooth one.
- Pick a regular value  $y \in S^n$ . Its inverse image will be a smooth  $k$ -manifold  $M$  in  $S^{n+k}$ .

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## Pontryagin's early work on homotopy groups of spheres



Lev Pontryagin 1908-1988

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- Pick a regular value  $y \in S^n$ . Its inverse image will be a smooth  $k$ -manifold  $M$  in  $S^{n+k}$ .
- By studying such manifolds, Pontryagin was able to deduce things about maps between spheres.

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## Pontryagin's early work (continued)

Let  $D^n$  be the closure of an open ball around a point  $y \in S^n$ .

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## Pontryagin's early work (continued)

Let  $D^n$  be the closure of an open ball around a point  $y \in S^n$ . If it is sufficiently small, then  $V^{n+k} = f^{-1}(D^n) \subset S^{n+k}$  is an  $(n+k)$ -manifold homeomorphic to  $M \times D^n$  with boundary homeomorphic to  $M \times S^{n-1}$ .

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A local coordinate system around around the point  $y \in S^n$  pulls back to one around  $M$  called a [framing](#).

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A local coordinate system around around the point  $y \in S^n$  pulls back to one around  $M$  called a **framing**.

There is a way to reverse this procedure. A framed manifold  $M^k \subset S^{n+k}$  determines a map  $f : S^{n+k} \rightarrow S^n$ .

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## Pontryagin's early work (continued)

To proceed further, we need to be more precise about what we mean by continuous deformation.

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## Pontryagin's early work (continued)

To proceed further, we need to be more precise about what we mean by continuous deformation.

Two maps  $f_1, f_2 : S^{n+k} \rightarrow S^n$  are **homotopic** if there is a continuous map  $h : S^{n+k} \times [0, 1] \rightarrow S^n$  (called a **homotopy between  $f_1$  and  $f_2$** ) such that

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$$h(x, 0) = f_1(x) \quad \text{and} \quad h(x, 1) = f_2(x).$$

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$$h(x, 0) = f_1(x) \quad \text{and} \quad h(x, 1) = f_2(x).$$

If  $y \in S^n$  is a regular value of  $h$ , then  $h^{-1}(y)$  is a framed  $(k+1)$ -manifold  $N \subset S^{n+k} \times [0, 1]$

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To proceed further, we need to be more precise about what we mean by continuous deformation.

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To proceed further, we need to be more precise about what we mean by continuous deformation.

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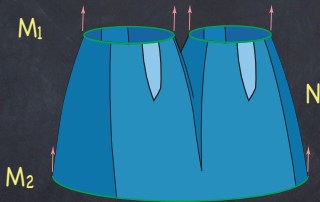
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## Pontryagin's early work (continued)

Here is an example of a framed cobordism for  $n = k = 1$ .

Pontryagin (1930's)



Framed cobordism

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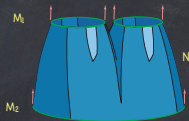
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# Pontryagin's early work (continued)

Pontryagin (1930's)



$$\Omega_k := \{\text{stably framed } k\text{-manifolds}\} / \text{cobordism}$$

**Theorem:** The above construction gives a bijection

$$\pi_{n+k}(S^n) \approx \Omega_k$$

where

$$\pi_{n+k}(S^n) := \{\text{maps } S^{n+k} \rightarrow S^n\} / \text{homotopy}$$

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Pontryagin (1930's)

$k=0$

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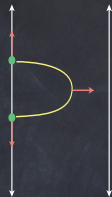
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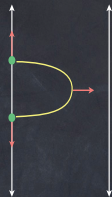
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# Pontryagin's early work (continued)

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$$\pi_n(S^n) = \mathbb{Z}$$

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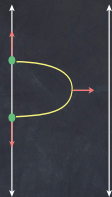
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Pontryagin (1930's)

$k=0$



$$\pi_n(S^n) = \mathbb{Z}$$

(the degree)

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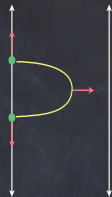
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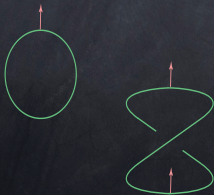
$k=0$



$$\pi_n(S^n) = \mathbb{Z}$$

(the degree)

$k=1$



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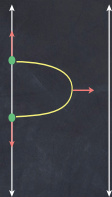
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# Pontryagin's early work (continued)

Pontryagin (1930's)

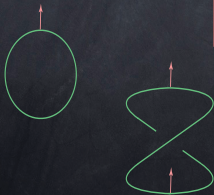
$k=0$



$$\pi_n(S^n) = \mathbb{Z}$$

(the degree)

$k=1$



$$\pi_{n+1}(S^n) = \mathbb{Z}/2$$

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## Pontryagin's early work (continued)

Pontryagin (1930's)

$k=2$     genus  $M = 0 \Rightarrow M$  is a boundary

(since  $S^2$  bounds a disk and  
 $\pi_2(\mathrm{GL}_n(\mathbf{R}))=0$ )

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## Pontryagin's early work (continued)

Pontryagin (1930's)

$k=2$     genus  $M = 0 \Rightarrow M$  is a boundary

(since  $S^2$  bounds a disk and  
 $\pi_2(\mathrm{GL}_n(\mathbf{R}))=0$ )

Suppose the genus of  $M$  is  
greater than 0.

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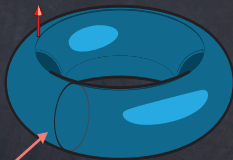
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# Pontryagin's early work (continued)

Pontryagin (1930's)

$k=2$



choose an  
embedded arc

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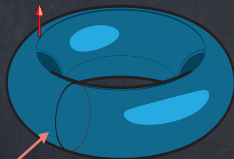
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# Pontryagin's early work (continued)

Pontryagin (1930's)

$k=2$



choose an  
embedded arc

cut the surface open  
and glue in disks

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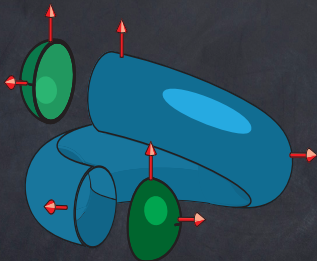
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# Pontryagin's early work (continued)

Pontryagin (1930's)

$k=2$



framed surgery

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## Pontryagin's early work (continued)

Pontryagin (1930's)

Obstruction:  $\varphi : H_1(M; \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$

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## Pontryagin's early work (continued)

Pontryagin (1930's)

Obstruction:  $\varphi : H_1(M; \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$

Argument: Since the dimension of  $H_1(M; \mathbb{Z}/2)$  is even, there is always a non-zero element in the kernel of  $\varphi$ , and so surgery can be performed.

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## Pontryagin's early work (continued)

Pontryagin (1930's)

Obstruction:  $\varphi : H_1(M; \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$

Argument: Since the dimension of  $H_1(M; \mathbb{Z}/2)$  is even, there is always a non-zero element in the kernel of  $\varphi$ , and so surgery can be performed.

Conclusion:  $\Omega_2 = \pi_{n+2}(S^n) = 0$ .

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Doug Ravenel



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## Pontryagin's mistake for $k = 2$

The map  $\varphi : H_1(M; \mathbf{Z}/2) \rightarrow \mathbf{Z}/2$  is **not** a homomorphism!

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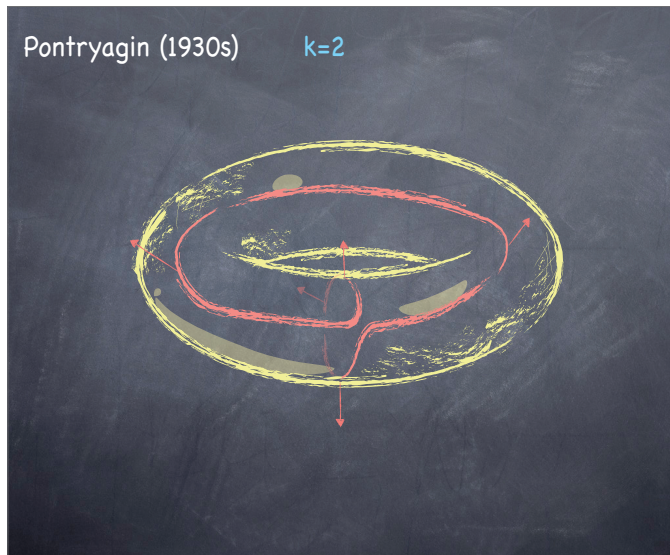
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Tuesday, April 21, 2009

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# The Arf invariant of a quadratic form in characteristic 2

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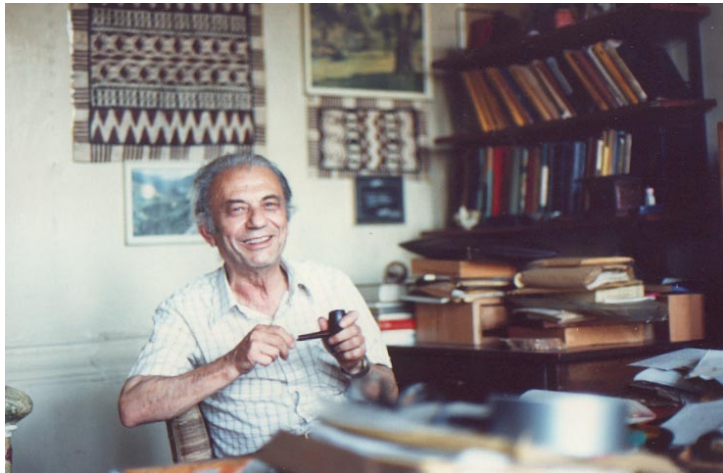
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Cahit Arf 1910-1997

# The Arf invariant of a quadratic form in characteristic 2 (continued)

Let  $\lambda$  be a nonsingular anti-symmetric bilinear form on a free abelian group  $H$  of rank  $2n$  with mod 2 reduction  $\overline{H}$ .

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# The Arf invariant of a quadratic form in characteristic 2 (continued)

Let  $\lambda$  be a nonsingular anti-symmetric bilinear form on a free abelian group  $H$  of rank  $2n$  with mod 2 reduction  $\overline{H}$ . It is known that  $\overline{H}$  has a basis of the form  $\{a_i, b_i : 1 \leq i \leq n\}$  with

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Let  $\lambda$  be a nonsingular anti-symmetric bilinear form on a free abelian group  $H$  of rank  $2n$  with mod 2 reduction  $\overline{H}$ . It is known that  $\overline{H}$  has a basis of the form  $\{a_i, b_i : 1 \leq i \leq n\}$  with

$$\lambda(a_i, a_{i'}) = 0 \quad \lambda(b_j, b_{j'}) = 0 \quad \text{and} \quad \lambda(a_i, b_j) = \delta_{i,j}.$$

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# The Arf invariant of a quadratic form in characteristic 2 (continued)

A quadratic refinement of  $\lambda$  is a map  $q : \bar{H} \rightarrow \mathbf{Z}/2$  satisfying

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# The Arf invariant of a quadratic form in characteristic 2 (continued)

A quadratic refinement of  $\lambda$  is a map  $q : \bar{H} \rightarrow \mathbf{Z}/2$  satisfying

$$q(x + y) = q(x) + q(y) + \lambda(x, y)$$

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A quadratic refinement of  $\lambda$  is a map  $q : \bar{H} \rightarrow \mathbf{Z}/2$  satisfying

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Its Arf invariant is

$$\text{Arf}(q) = \sum_{i=1}^n q(a_i)q(b_i) \in \mathbf{Z}/2.$$

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$$\text{Arf}(q) = \sum_{i=1}^n q(a_i)q(b_i) \in \mathbf{Z}/2.$$

In 1941 Arf proved that this invariant (along with the number  $n$ ) determines the isomorphism type of  $q$ .

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# Money talks: Arf's definition republished in 2009

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Cahit Arf 1910-1997

# The Kervaire invariant of a framed $(4m + 2)$ -manifold

Let  $M$  be a  $2m$ -connected smooth closed framed manifold of dimension  $4m + 2$ .

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# The Kervaire invariant of a framed $(4m + 2)$ -manifold

Let  $M$  be a  $2m$ -connected smooth closed framed manifold of dimension  $4m + 2$ . Let  $H = H_{2m+1}(M; \mathbf{Z})$ , the homology group in the middle dimension.

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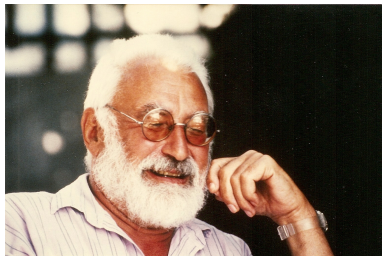
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Michel Kervaire 1927-2007

Kervaire defined a quadratic refinement  $q$  on its mod 2 reduction in terms of the trivialization of each sphere's normal bundle.

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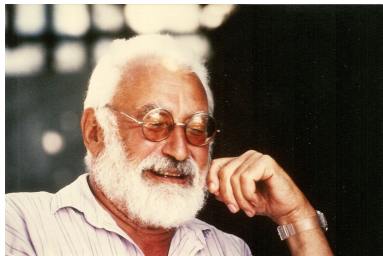
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## The Kervaire invariant of a framed $(4m + 2)$ -manifold

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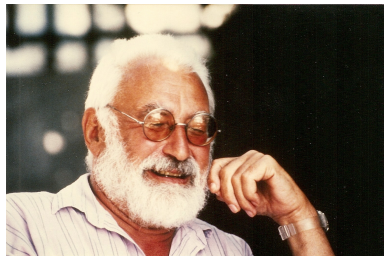
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## The Kervaire invariant of a framed $(4m + 2)$ -manifold

Let  $M$  be a  $2m$ -connected smooth closed framed manifold of dimension  $4m + 2$ . Let  $H = H_{2m+1}(M; \mathbf{Z})$ , the homology group in the middle dimension. Each  $x \in H$  is represented by an immersion  $i_x : S^{2m+1} \looparrowright M$  with a stably trivialized normal bundle.  $H$  has an antisymmetric bilinear form  $\lambda$  defined in terms of intersection numbers.



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For  $m = 0$ , Kervaire's  $q$  coincides with Pontryagin's  $\varphi$ .

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# The Kervaire invariant of a framed $(4m + 2)$ -manifold (continued)

What can we say about  $\Phi(M)$ ?

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# The Kervaire invariant of a framed $(4m + 2)$ -manifold (continued)

What can we say about  $\Phi(M)$ ?

- For  $m = 0$  there is a framing on the torus  $S^1 \times S^1 \subset \mathbf{R}^4$  with nontrivial Kervaire invariant.

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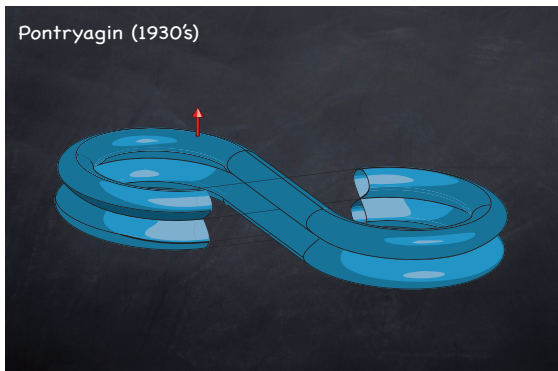
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More of what we can say about  $\Phi(M)$ .

- Kervaire (1960) showed it must vanish when  $m = 2$ .

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# The Kervaire invariant of a framed $(4m + 2)$ -manifold (continued)

More of what we can say about  $\Phi(M)$ .

- Kervaire (1960) showed it must vanish when  $m = 2$ . This enabled him to construct the first example of a topological manifold (of dimension 10) without a smooth structure.

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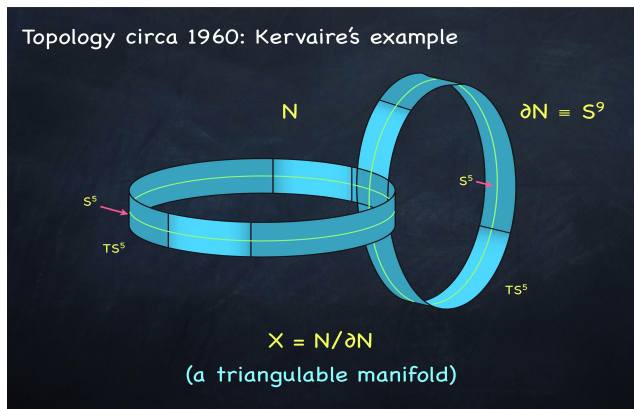
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# The Kervaire invariant of a framed $(4m + 2)$ -manifold (continued)

More of what we can say about  $\Phi(M)$ .



Ed Brown



Frank Peterson  
1930-2000

Brown-Peterson (1966) showed that it vanishes for all positive even  $m$ .

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# The Kervaire invariant of a framed $(4m + 2)$ -manifold (continued)

More of what we can say about  $\Phi(M)$ .



Bill Browder

Browder (1969) showed that it can be nontrivial only if  $m = 2^{j-1} - 1$  for some positive integer  $j$ .

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Browder (1969) showed that it can be nontrivial only if  $m = 2^{j-1} - 1$  for some positive integer  $j$ . This happens iff the element  $h_j^2$  is a permanent cycle in the Adams spectral sequence.

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Browder (1969) showed that it can be nontrivial only if  $m = 2^{j-1} - 1$  for some positive integer  $j$ . This happens iff the element  $h_j^2$  is a permanent cycle in the Adams spectral sequence. The corresponding element in  $\pi_{n+2^{j+1}-2}(S^n)$  for large  $n$  is  $\theta_j$ , the subject of our theorem.

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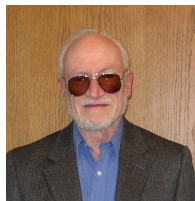
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# The Kervaire invariant of a framed $(4m + 2)$ -manifold (continued)

More of what we can say about  $\Phi(M)$ .

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Bill Browder

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- $\theta_j$  is known to exist for  $1 \leq j \leq 5$ , i.e., in dimensions 2, 6, 14, 30 and 62.

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More of what we can say about  $\Phi(M)$ .



Bill Browder

Browder (1969) showed that it can be nontrivial only if  $m = 2^j - 1$  for some positive integer  $j$ . This happens iff the element  $h_j^2$  is a permanent cycle in the Adams spectral sequence. The corresponding element in  $\pi_{n+2^{j+1}-2}(S^n)$  for large  $n$  is  $\theta_j$ , the subject of our theorem. **This is the stable homotopy theoretic formulation of the problem.**

- $\theta_j$  is known to exist for  $1 \leq j \leq 5$ , i.e., in dimensions 2, 6, 14, 30 and 62.
- In the decade following Browder's theorem, many topologists tried **without success** to construct framed manifolds with nontrivial Kervaire invariant in **all** dimensions 2 less than a power of 2.

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# The Kervaire invariant of a framed $(4m + 2)$ -manifold (continued)

More of what we can say about  $\Phi(M)$ .



Bill Browder

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- Our theorem says  $\theta_j$  does **not** exist for  $j \geq 7$ . The case  $j = 6$  is still open.





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**Adams spectral sequence formulation.** We now know that the  $h_j^2$  for  $j \geq 7$  are not permanent cycles, so they have to support nontrivial differentials.

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Our method of proof offers a new tool, **the slice spectral sequence**, for studying the stable homotopy groups of spheres.

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Our method of proof offers a new tool, **the slice spectral sequence**, for studying the stable homotopy groups of spheres. We look forward to learning more with it in the future. **We will illustrate it at the end of this talk.**

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# Ingredients of the proof

Our proof has several ingredients.

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# Ingredients of the proof

Our proof has several ingredients.

- We use methods of stable homotopy theory, which means we use spectra instead of topological spaces.

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# Ingredients of the proof

Our proof has several ingredients.

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*Spectra are to spaces as integers are to natural numbers.*

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In particular, recall that a space  $X$  has a homotopy group  $\pi_k(X)$  for each positive integer  $k$ .





# Ingredients of the proof



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For the sphere spectrum  $S^0$ ,  $\pi_k(S^0)$  is the usual homotopy group  $\pi_{n+k}(S^n)$  for  $n > k + 1$ .

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For the sphere spectrum  $S^0$ ,  $\pi_k(S^0)$  is the usual homotopy group  $\pi_{n+k}(S^n)$  for  $n > k + 1$ . The hypothetical  $\theta_j$  is an element of this group for  $k = 2^{j+1} - 2$ .

## Ingredients of the proof (continued)

More ingredients of our proof:

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## Ingredients of the proof (continued)

More ingredients of our proof:

- We use complex cobordism theory.

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## Ingredients of the proof (continued)

More ingredients of our proof:

- We use complex cobordism theory. This is a branch of algebraic topology having deep connections with algebraic geometry and number theory.

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## Ingredients of the proof (continued)

More ingredients of our proof:

- We use complex cobordism theory. This is a branch of algebraic topology having deep connections with algebraic geometry and number theory. It includes some highly developed computational techniques that began with work by Milnor, Novikov and Quillen in the 60s.

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- We use complex cobordism theory. This is a branch of algebraic topology having deep connections with algebraic geometry and number theory. It includes some highly developed computational techniques that began with work by Milnor, Novikov and Quillen in the 60s. A pivotal tool in the subject is the theory of formal group laws.



John Milnor



Sergei Novikov



Dan Quillen

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## Ingredients of the proof (continued)

More ingredients of our proof:

- We also make use of newer less familiar methods from equivariant stable homotopy theory.

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## Ingredients of the proof (continued)

More ingredients of our proof:

- We also make use of newer less familiar methods from equivariant stable homotopy theory. This means there is a finite group  $G$  (a cyclic 2-group) acting on all spaces in sight, and all maps are required to commute with these actions.

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Peter May



John Greenlees



Gaunce Lewis  
1949-2006

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# The spectrum $\Omega$

We will produce a map  $S^0 \rightarrow \Omega$ , where  $\Omega$  is a nonconnective spectrum (meaning that it has nontrivial homotopy groups in arbitrarily large negative dimensions) with the following properties.

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- (i) **Detection Theorem.** It has an Adams-Novikov spectral sequence (which is a device for calculating homotopy groups) in which the image of each  $\theta_j$  is nontrivial.

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- (ii) **Periodicity Theorem.** It is 256-periodic, meaning that  $\pi_k(\Omega)$  depends only on the reduction of  $k$  modulo 256.

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# The spectrum $\Omega$ (continued)

Here again are the properties of  $\Omega$

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# The spectrum $\Omega$ (continued)

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Mike Hill  
Mike Hopkins  
Doug Ravenel



Here again are the properties of  $\Omega$

- (i) **Detection Theorem.** If  $\theta_j$  exists, it has nontrivial image in  $\pi_*(\Omega)$ .
- (ii) **Periodicity Theorem.**  $\pi_k(\Omega)$  depends only on the reduction of  $k$  modulo 256.
- (iii) **Gap Theorem.**  $\pi_{-2}(\Omega) = 0$ .

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- (ii) and (iii) imply that  $\pi_{254}(\Omega) = 0$ .

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If  $\theta_7 \in \pi_{254}(S^0)$  exists, (i) implies it has a nontrivial image in this group, so it cannot exist.

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If  $\theta_7 \in \pi_{254}(S^0)$  exists, (i) implies it has a nontrivial image in this group, so it cannot exist. The argument for  $\theta_j$  for larger  $j$  is similar, since  $|\theta_j| = 2^{j+1} - 2 \equiv -2 \pmod{256}$  for  $j \geq 7$ .

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## How we construct $\Omega$

Our spectrum  $\Omega$  will be the fixed point spectrum for the action of  $C_8$  (the cyclic group of order 8) on an equivariant spectrum  $\tilde{\Omega}$ .

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To construct it we start with the complex cobordism spectrum  $MU$ .

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To construct it we start with the complex cobordism spectrum  $MU$ . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers. This means that it has an action of  $C_2$  defined by complex conjugation.

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To construct it we start with the complex cobordism spectrum  $MU$ . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers. This means that it has an action of  $C_2$  defined by complex conjugation. The fixed point set of this action is the set of real points, known to topologists as  $MO$ , the unoriented cobordism spectrum. In this notation,  $U$  and  $O$  stand for the unitary and orthogonal groups.

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Some people who have studied  $MU$  as a  $C_2$ -spectrum:

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Shoro Araki  
1930–2005

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Peter Landweber



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Peter Landweber



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Nitu Kitchloo



Steve Wilson

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## How we construct $\Omega$ (continued)

To get a  $C_8$ -spectrum, we use the following general construction for getting from a space or spectrum  $X$  acted on by a group  $H$  to one acted on by a larger group  $G$  containing  $H$  as a subgroup.

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$$Y = \text{Map}_H(G, X),$$

the space (or spectrum) of  $H$ -equivariant maps from  $G$  to  $X$ .

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the space (or spectrum) of  $H$ -equivariant maps from  $G$  to  $X$ . Here the action of  $H$  on  $G$  is by right multiplication, and the resulting object has an action of  $G$  by left multiplication.

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In particular we get a  $C_8$ -spectrum

$$MU^{(4)} = \text{Map}_{C_2}(C_8, MU).$$

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This spectrum is not periodic, but it has a close relative  $\tilde{\Omega}$  which is.

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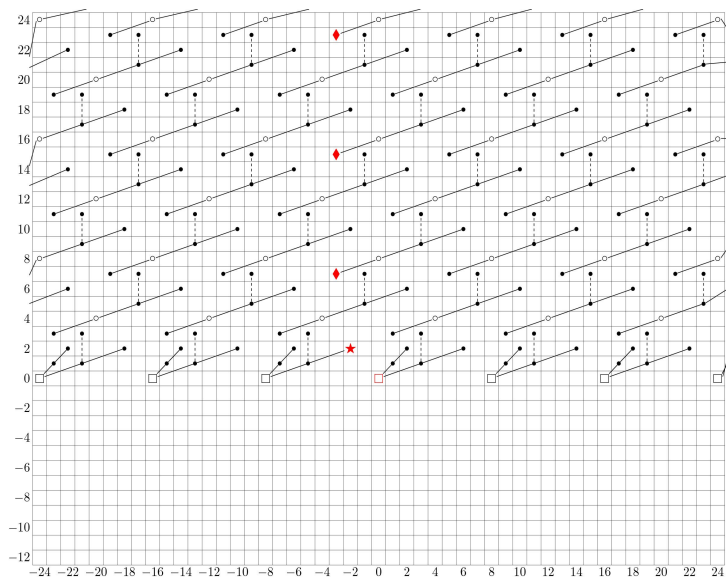
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# A homotopy fixed point spectral sequence



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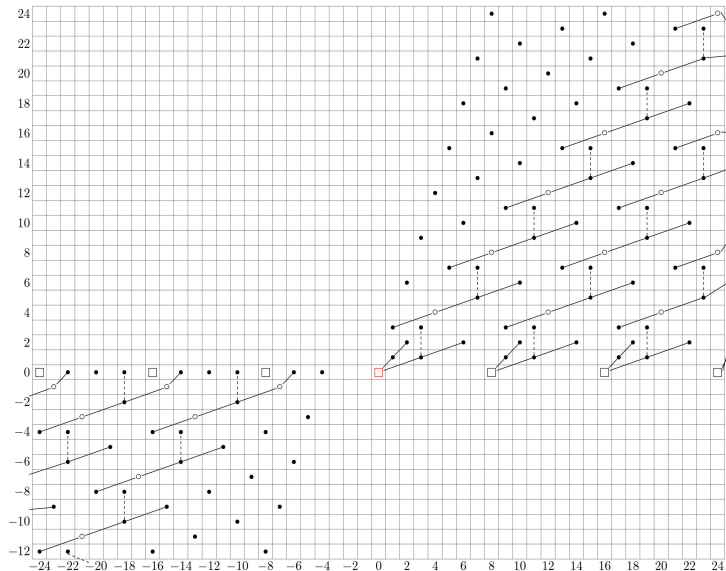
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