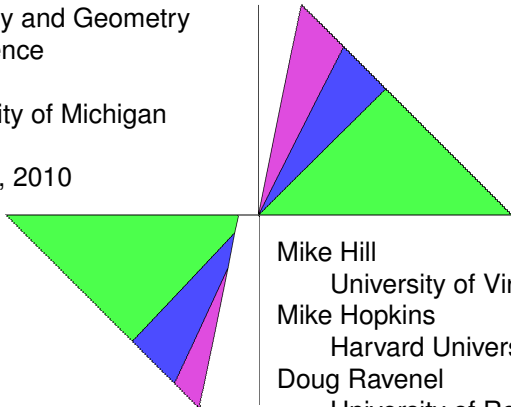


# A solution to the Arf-Kervaire invariant problem

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Topology and Geometry  
Conference

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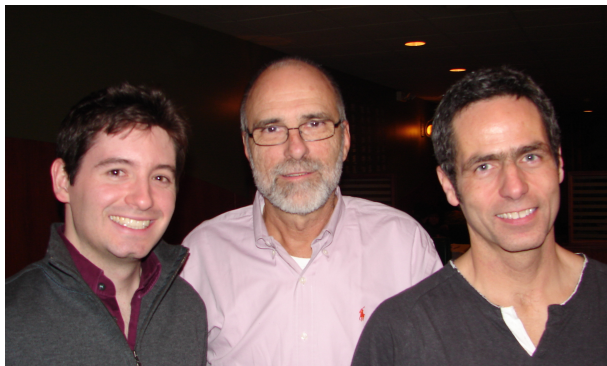


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Mike Hill, myself and Mike Hopkins  
Photo taken by Bill Browder  
February 11, 2010

## Our main result

Our main theorem can be stated in three different but equivalent ways:

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## Our main result

Our main theorem can be stated in three different but equivalent ways:

- **Manifold formulation:** It says that a certain geometrically defined invariant  $\Phi(M)$  (the Arf-Kervaire invariant, to be defined later) on certain manifolds  $M$  is always zero.

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The problem solved by our theorem is nearly 50 years old.

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The problem solved by our theorem is nearly 50 years old. There were several unsuccessful attempts to solve it in the 1970s. They were all aimed at proving the **opposite** of what we have proved.

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## Our main result (continued)

Here is the stable homotopy theoretic formulation.

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Here is the stable homotopy theoretic formulation.

### Main Theorem

*The Arf-Kervaire elements  $\theta_j \in \pi_{2^{j+1}-2+n}(S^n)$  for large  $n$  do not exist for  $j \geq 7$ .*

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### Main Theorem

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Here  $\pi_k(X)$  (for a positive integer  $k$ ) denotes **the  $k$ th homotopy group of the topological space  $X$** , the set of continuous maps to  $X$  from the  $k$ -sphere  $S^k$ , up to continuous deformation.

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The  $\theta_j$  in the theorem is the name given to a hypothetical map between spheres for which the Arf-Kervaire invariant is nontrivial.

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The  $\theta_j$  in the theorem is the name given to a hypothetical map between spheres for which the Arf-Kervaire invariant is nontrivial. It follows from Browder's theorem of 1969 that such things can exist only in dimensions that are 2 less than a power of 2.

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## Our main result (continued)



Mark Mahowald

Some homotopy theorists, most notably Mahowald, speculated about what would happen if  $\theta_j$  existed for all  $j$ .

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Some homotopy theorists, most notably Mahowald, speculated about what would happen if  $\theta_j$  existed for all  $j$ . They derived numerous consequences about homotopy groups of spheres. The possible nonexistence of the  $\theta_j$  for large  $j$  was known as the **Doomsday Hypothesis**.

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After 1980, the problem faded into the background because it was thought to be too hard.

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After 1980, the problem faded into the background because it was thought to be too hard. Our proof is two giant steps away from anything that was attempted in the 70s.

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After 1980, the problem faded into the background because it was thought to be too hard. Our proof is two giant steps away from anything that was attempted in the 70s. We now know that the world of homotopy theory is very different from what they had envisioned then.

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# Pontryagin's early work on homotopy groups of spheres



Lev Pontryagin 1908-1988

Pontryagin's approach to maps  $f : S^{n+k} \rightarrow S^n$  was

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Pontryagin's approach to maps  $f : S^{n+k} \rightarrow S^n$  was

- Assume  $f$  is smooth.

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## Pontryagin's early work on homotopy groups of spheres



Lev Pontryagin 1908-1988

Pontryagin's approach to maps  $f : S^{n+k} \rightarrow S^n$  was

- Assume  $f$  is smooth. We know that any such map is can be continuously deformed to a smooth one.

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- Pick a regular value  $y \in S^n$ .

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- Pick a regular value  $y \in S^n$ . Its inverse image will be a smooth  $k$ -manifold  $M$  in  $S^{n+k}$ .
- By studying such manifolds, Pontryagin was able to deduce things about maps between spheres.

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## Pontryagin's early work (continued)

Let  $D^n$  be the closure of an open ball around  $y \in S^n$ .

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## Pontryagin's early work (continued)

Let  $D^n$  be the closure of an open ball around  $y \in S^n$ . If it is sufficiently small, then  $V^{n+k} = f^{-1}(D^n) \subset S^{n+k}$  is an  $(n+k)$ -manifold homeomorphic to  $M \times D^n$  with boundary homeomorphic to  $M \times S^{n-1}$ .

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A local coordinate system around around  $y$  pulls back to one around  $M$  called a **framing**.

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A local coordinate system around around  $y$  pulls back to one around  $M$  called a **framing**.

There is a way to reverse this procedure. A framed manifold  $M^k \subset S^{n+k}$  determines a map  $f : S^{n+k} \rightarrow S^n$ .

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## Pontryagin's early work (continued)

To proceed further, we need to be more precise about what we mean by continuous deformation.

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## Pontryagin's early work (continued)

To proceed further, we need to be more precise about what we mean by continuous deformation.

Two maps  $f_1, f_2 : S^{n+k} \rightarrow S^n$  are **homotopic** if there is a continuous map  $h : S^{n+k} \times [0, 1] \rightarrow S^n$  (called a **homotopy between  $f_1$  and  $f_2$** ) such that

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$$h(x, 0) = f_1(x) \quad \text{and} \quad h(x, 1) = f_2(x).$$

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$$h(x, 0) = f_1(x) \quad \text{and} \quad h(x, 1) = f_2(x).$$

If  $y \in S^n$  is a regular value of  $h$ , then  $h^{-1}(y)$  is a framed  $(k+1)$ -manifold  $N \subset S^{n+k} \times [0, 1]$

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## Pontryagin's early work (continued)

To proceed further, we need to be more precise about what we mean by continuous deformation.

Two maps  $f_1, f_2 : S^{n+k} \rightarrow S^n$  are **homotopic** if there is a continuous map  $h : S^{n+k} \times [0, 1] \rightarrow S^n$  (called a **homotopy between  $f_1$  and  $f_2$** ) such that

$$h(x, 0) = f_1(x) \quad \text{and} \quad h(x, 1) = f_2(x).$$

If  $y \in S^n$  is a regular value of  $h$ , then  $h^{-1}(y)$  is a framed  $(k+1)$ -manifold  $N \subset S^{n+k} \times [0, 1]$  whose boundary is the disjoint union of  $M_1 = f_1^{-1}(y)$  and  $M_2 = f_2^{-1}(y)$ .

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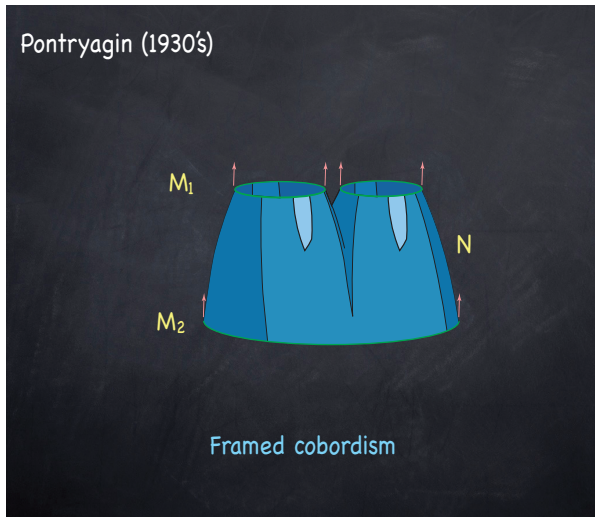
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## Pontryagin's early work (continued)

Here is an example of a framed cobordism for  $n = k = 1$ .



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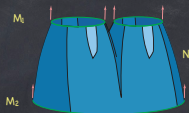
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# Pontryagin's early work (continued)

Pontryagin (1930's)



$$\Omega_k := \{\text{stably framed } k\text{-manifolds}\} / \text{cobordism}$$

**Theorem:** The above construction gives a bijection

$$\pi_{n+k}(S^n) \approx \Omega_k$$

where

$$\pi_{n+k}(S^n) := \{\text{maps } S^{n+k} \rightarrow S^n\} / \text{homotopy}$$

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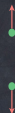
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# Pontryagin's early work (continued)

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# Pontryagin's early work (continued)

Pontryagin (1930's)

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$$\pi_n(S^n) = \mathbb{Z}$$

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# Pontryagin's early work (continued)

Pontryagin (1930's)

$k=0$



$$\pi_n(S^n) = \mathbb{Z}$$

(the degree)

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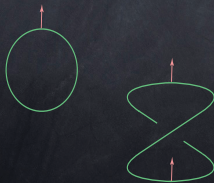
$k=0$



$$\pi_n(S^n) = \mathbb{Z}$$

(the degree)

$k=1$



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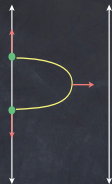
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# Pontryagin's early work (continued)

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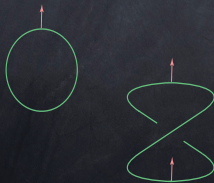
$k=0$



$$\pi_n(S^n) = \mathbb{Z}$$

(the degree)

$k=1$



$$\pi_{n+1}(S^n) = \mathbb{Z}/2$$

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## Pontryagin's early work (continued)

Pontryagin (1930's)

$k=2$     genus  $M = 0 \Rightarrow M$  is a boundary

(since  $S^2$  bounds a disk and  
 $\pi_2(\mathrm{GL}_n(\mathbf{R}))=0$ )

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## Pontryagin's early work (continued)

Pontryagin (1930's)

$k=2$     genus  $M = 0 \Rightarrow M$  is a boundary

(since  $S^2$  bounds a disk and  
 $\pi_2(\mathrm{GL}_n(\mathbf{R}))=0$ )

Suppose the genus of  $M$  is  
greater than 0.

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## Pontryagin's early work (continued)

Pontryagin (1930's)

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choose an  
embedded arc

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## Pontryagin's early work (continued)

Pontryagin (1930's)

$k=2$



choose an  
embedded arc

cut the surface open  
and glue in disks

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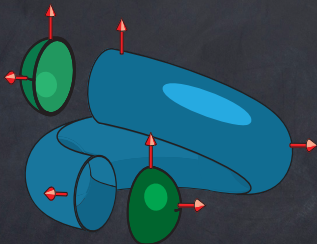
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framed surgery

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# Pontryagin's early work (continued)

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Obstruction:  $\varphi : H_1(M; \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$

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## Pontryagin's early work (continued)

Pontryagin (1930's)

Obstruction:  $\varphi : H_1(M; \mathbb{Z}/2) \rightarrow \mathbb{Z}/2$

Argument: Since the dimension of  $H_1(M; \mathbb{Z}/2)$  is even, there is always a non-zero element in the kernel of  $\varphi$ , and so surgery can be performed.

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Argument: Since the dimension of  $H_1(M; \mathbb{Z}/2)$  is even, there is always a non-zero element in the kernel of  $\varphi$ , and so surgery can be performed.

Conclusion:  $\Omega_2 = \pi_{n+2}(S^n) = 0$ .

## Pontryagin's mistake for $k = 2$

The map  $\varphi : H_1(M; \mathbf{Z}/2) \rightarrow \mathbf{Z}/2$  is **not** a homomorphism!

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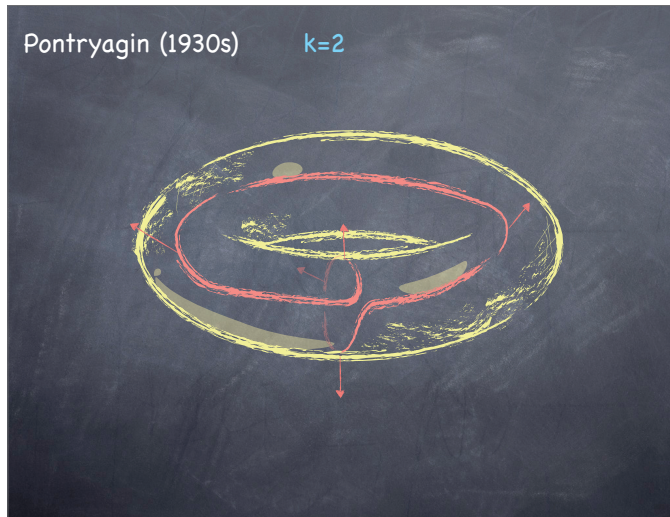
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Tuesday, April 21, 2009

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# The Arf invariant of a quadratic form in characteristic 2

Let  $\lambda$  be a nonsingular anti-symmetric bilinear form on a free abelian group  $H$  of rank  $2n$  with mod 2 reduction  $\overline{H}$ .

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# The Arf invariant of a quadratic form in characteristic 2

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$$\lambda(a_i, a_{i'}) = 0 \quad \lambda(b_j, b_{j'}) = 0 \quad \text{and} \quad \lambda(a_i, b_j) = \delta_{i,j}.$$

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# The Arf invariant of a quadratic form in characteristic 2 (continued)

A quadratic refinement of  $\lambda$  is a map  $q : \bar{H} \rightarrow \mathbf{Z}/2$  satisfying

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# The Arf invariant of a quadratic form in characteristic 2 (continued)

A quadratic refinement of  $\lambda$  is a map  $q : \bar{H} \rightarrow \mathbf{Z}/2$  satisfying

$$q(x + y) = q(x) + q(y) + \lambda(x, y)$$

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# The Arf invariant of a quadratic form in characteristic 2 (continued)

A quadratic refinement of  $\lambda$  is a map  $q : \bar{H} \rightarrow \mathbf{Z}/2$  satisfying

$$q(x + y) = q(x) + q(y) + \lambda(x, y)$$

Its Arf invariant is

$$\text{Arf}(q) = \sum_{i=1}^n q(a_i)q(b_i) \in \mathbf{Z}/2.$$

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# The Arf invariant of a quadratic form in characteristic 2 (continued)

A quadratic refinement of  $\lambda$  is a map  $q : \bar{H} \rightarrow \mathbf{Z}/2$  satisfying

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Its Arf invariant is

$$\text{Arf}(q) = \sum_{i=1}^n q(a_i)q(b_i) \in \mathbf{Z}/2.$$

In 1941 Arf proved that this invariant (along with the number  $n$ ) determines the isomorphism type of  $q$ .

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# Money talks: Arf's definition republished in 2009



Cahit Arf 1910-1997

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# The Kervaire invariant of a framed $(4m + 2)$ -manifold

Let  $M$  be a  $2m$ -connected smooth closed framed manifold of dimension  $4m + 2$ .

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# The Kervaire invariant of a framed $(4m + 2)$ -manifold

Let  $M$  be a  $2m$ -connected smooth closed framed manifold of dimension  $4m + 2$ . Let  $H = H_{2m+1}(M; \mathbf{Z})$ , the homology group in the middle dimension.

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# The Kervaire invariant of a framed $(4m + 2)$ -manifold

Let  $M$  be a  $2m$ -connected smooth closed framed manifold of dimension  $4m + 2$ . Let  $H = H_{2m+1}(M; \mathbf{Z})$ , the homology group in the middle dimension. Each  $x \in H$  is represented by an immersion  $i_x : S^{2m+1} \looparrowright M$  with a stably trivialized normal bundle.

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# The Kervaire invariant of a framed $(4m + 2)$ -manifold

Let  $M$  be a  $2m$ -connected smooth closed framed manifold of dimension  $4m + 2$ . Let  $H = H_{2m+1}(M; \mathbf{Z})$ , the homology group in the middle dimension. Each  $x \in H$  is represented by an immersion  $i_x : S^{2m+1} \looparrowright M$  with a stably trivialized normal bundle.  $H$  has an antisymmetric bilinear form  $\lambda$  defined in terms of intersection numbers.

A solution to the  
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Mike Hopkins  
Doug Ravenel



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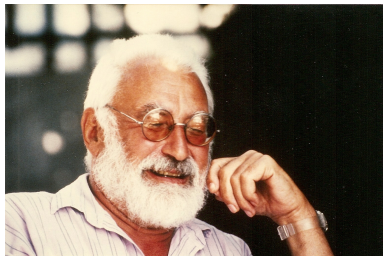
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Michel Kervaire 1927-2007

Kervaire defined a quadratic refinement  $q$  on its mod 2 reduction in terms of the trivialization of each sphere's normal bundle.

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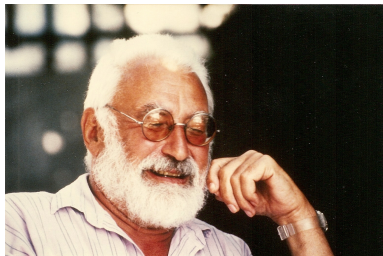
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For  $m = 0$ , **Kervaire's  $q$**  coincides with Pontryagin's  $\varphi$ .

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What can we say about  $\Phi(M)$ ?

- For  $m = 0$  there is a framing on the torus  $S^1 \times S^1 \subset \mathbf{R}^4$  with nontrivial Kervaire invariant.

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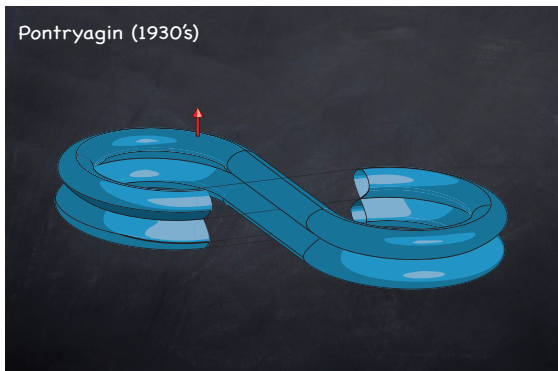
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More of what we can say about  $\Phi(M)$ .

- Kervaire (1960) showed it must vanish when  $m = 2$ .

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More of what we can say about  $\Phi(M)$ .

- Kervaire (1960) showed it must vanish when  $m = 2$ . This enabled him to construct the first example of a topological manifold (of dimension 10) without a smooth structure.

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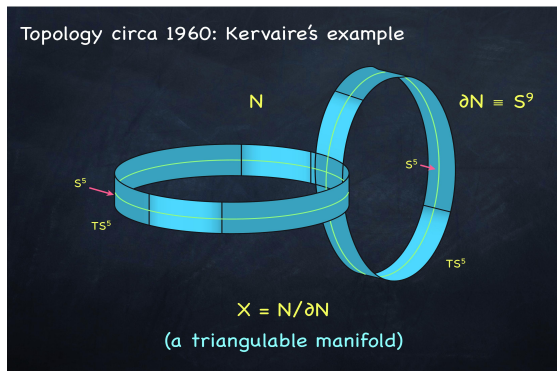
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More of what we can say about  $\Phi(M)$ .



Ed Brown



Frank Peterson  
1930-2000

Brown-Peterson (1966) showed that it vanishes for all positive even  $m$ .

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Bill Browder

Browder (1969) showed that it can be nontrivial only if  $m = 2^{j-1} - 1$  for some positive integer  $j$ .

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- $\theta_j$  is known to exist for  $1 \leq j \leq 5$ , i.e., in dimensions 2, 6, 14, 30 and 62.

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- $\theta_j$  is known to exist for  $1 \leq j \leq 5$ , i.e., in dimensions 2, 6, 14, 30 and 62.
- In the decade following Browder's theorem, many topologists tried **without success** to construct framed manifolds with nontrivial Kervaire invariant in **all** dimensions 2 less than a power of 2.

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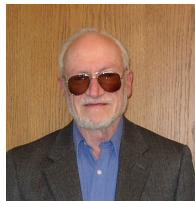
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- Our theorem says  $\theta_j$  does **not** exist for  $j \geq 7$ . The case  $j = 6$  is still open.



# Questions raised by our theorem

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**Adams spectral sequence formulation.** We now know that the  $h_j^2$  for  $j \geq 7$  are not permanent cycles, so they have to support nontrivial differentials.

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Our method of proof offers a new tool, **the slice spectral sequence**, for studying the stable homotopy groups of spheres.

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Our method of proof offers a new tool, **the slice spectral sequence**, for studying the stable homotopy groups of spheres. We look forward to learning more with it in the future. **We will illustrate it at the end of the talk.**

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# Ingredients of the proof

Our proof has several ingredients.

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# Ingredients of the proof

Our proof has several ingredients.

- We use methods of stable homotopy theory, which means we use spectra instead of topological spaces.

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Mike Hopkins  
Doug Ravenel



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In particular, recall that a space  $X$  has a homotopy group  $\pi_k(X)$  for each positive integer  $k$ . A spectrum  $X$  has an abelian homotopy group  $\pi_k(X)$  **defined for every integer  $k$ .**

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For the sphere spectrum  $S^0$ ,  $\pi_k(S^0)$  is the usual homotopy group  $\pi_{n+k}(S^n)$  for  $n > k + 1$ .

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For the sphere spectrum  $S^0$ ,  $\pi_k(S^0)$  is the usual homotopy group  $\pi_{n+k}(S^n)$  for  $n > k + 1$ . The hypothetical  $\theta_j$  is an element of this group for  $k = 2^{j+1} - 2$ .

# Ingredients of the proof (continued)

More ingredients of our proof:

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## Ingredients of the proof (continued)

More ingredients of our proof:

- We use complex cobordism theory.

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## Ingredients of the proof (continued)

More ingredients of our proof:

- We use complex cobordism theory. This is a branch of algebraic topology having deep connections with algebraic geometry and number theory.

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## Ingredients of the proof (continued)

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- We use complex cobordism theory. This is a branch of algebraic topology having deep connections with algebraic geometry and number theory. It includes some highly developed computational techniques that began with work by Milnor, Novikov and Quillen in the 60s. A pivotal tool in the subject is the theory of formal group laws.



John Milnor



Sergei Novikov



Dan Quillen

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## Ingredients of the proof (continued)

More ingredients of our proof:

- We also make use of newer less familiar methods from equivariant stable homotopy theory.

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## Ingredients of the proof (continued)

More ingredients of our proof:

- We also make use of newer less familiar methods from equivariant stable homotopy theory. This means there is a finite group  $G$  (a cyclic 2-group) acting on all spaces in sight, and all maps are required to commute with these actions.

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## Ingredients of the proof (continued)

More ingredients of our proof:

- We also make use of newer less familiar methods from equivariant stable homotopy theory. This means there is a finite group  $G$  (a cyclic 2-group) acting on all spaces in sight, and all maps are required to commute with these actions. When we pass to spectra, we get homotopy groups indexed not just by the integers  $\mathbf{Z}$ , but by  $RO(G)$ , the real representation ring of  $G$ . Our calculations make use of this richer structure.



Peter May



John Greenlees



Gauance Lewis  
1949-2006

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# The spectrum $\Omega$

We will produce a map  $S^0 \rightarrow \Omega$ , where  $\Omega$  is a nonconnective spectrum (meaning that it has nontrivial homotopy groups in arbitrarily large negative dimensions) with the following properties.

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- (i) **Detection Theorem.** It has an Adams-Novikov spectral sequence (which is a device for calculating homotopy groups) in which the image of each  $\theta_j$  is nontrivial.

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- (ii) **Periodicity Theorem.** It is 256-periodic, meaning that  $\pi_k(\Omega)$  depends only on the reduction of  $k$  modulo 256.

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# The spectrum $\Omega$ (continued)

Here again are the properties of  $\Omega$

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- (ii) and (iii) imply that  $\pi_{254}(\Omega) = 0$ .

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If  $\theta_7 \in \pi_{254}(S^0)$  exists, (i) implies it has a nontrivial image in this group, so it cannot exist.

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- (ii) and (iii) imply that  $\pi_{254}(\Omega) = 0$ .

If  $\theta_7 \in \pi_{254}(S^0)$  exists, (i) implies it has a nontrivial image in this group, so it cannot exist. The argument for  $\theta_j$  for larger  $j$  is similar, since  $|\theta_j| = 2^{j+1} - 2 \equiv -2 \pmod{256}$  for  $j \geq 7$ .

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## How we construct $\Omega$

Our spectrum  $\Omega$  will be the fixed point spectrum for the action of  $C_8$  (the cyclic group of order 8) on an equivariant spectrum  $\tilde{\Omega}$ .

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To construct it we start with the complex cobordism spectrum  $MU$ .

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To construct it we start with the complex cobordism spectrum  $MU$ . It can be thought of as the set of complex points of an algebraic variety defined over the real numbers. This means that it has an action of  $C_2$  defined by complex conjugation. The fixed point set of this action is the set of real points, known to topologists as  $MO$ , the unoriented cobordism spectrum.

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## How we construct $\Omega$ (continued)

Some people who have studied  $MU$  as a  $C_2$ -spectrum:

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Some people who have studied  $MU$  as a  $C_2$ -spectrum:



Peter Landweber

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Some people who have studied  $MU$  as a  $C_2$ -spectrum:



Peter Landweber



Shoro Araki  
1930–2005

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## How we construct $\Omega$ (continued)

Some people who have studied  $MU$  as a  $C_2$ -spectrum:



Peter Landweber



Igor Kriz and Po Hu



Shoro Araki  
1930–2005

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## How we construct $\Omega$ (continued)

Some people who have studied  $MU$  as a  $C_2$ -spectrum:



Peter Landweber



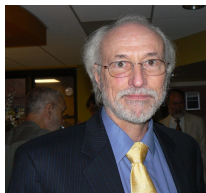
Igor Kriz and Po Hu



Shoro Araki  
1930–2005



Nitu Kitchloo



Steve Wilson

A solution to the  
Arf-Kervaire invariant  
problem

Mike Hill  
Mike Hopkins  
Doug Ravenel



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## How we construct $\Omega$ (continued)

To get a  $C_8$ -spectrum, we use the following general construction for getting from a space or spectrum  $X$  acted on by a group  $H$  to one acted on by a larger group  $G$  containing  $H$  as a subgroup.

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$$Y = \text{Map}_H(G, X),$$

the space (or spectrum) of  $H$ -equivariant maps from  $G$  to  $X$ .

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In particular we get a  $C_8$ -spectrum

$$MU^{(4)} = \text{Map}_{C_2}(C_8, MU).$$

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In particular we get a  $C_8$ -spectrum

$$MU^{(4)} = \text{Map}_{C_2}(C_8, MU).$$

This spectrum is not periodic, but it has a close relative  $\tilde{\Omega}$  which is.

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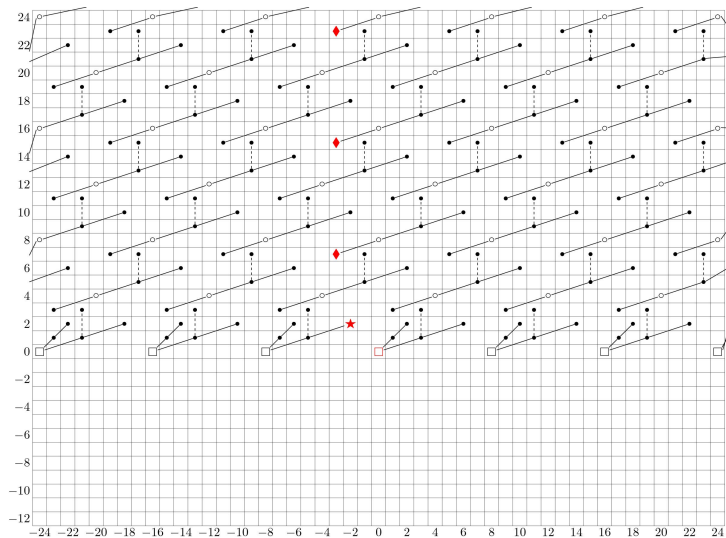
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# A homotopy fixed point spectral sequence



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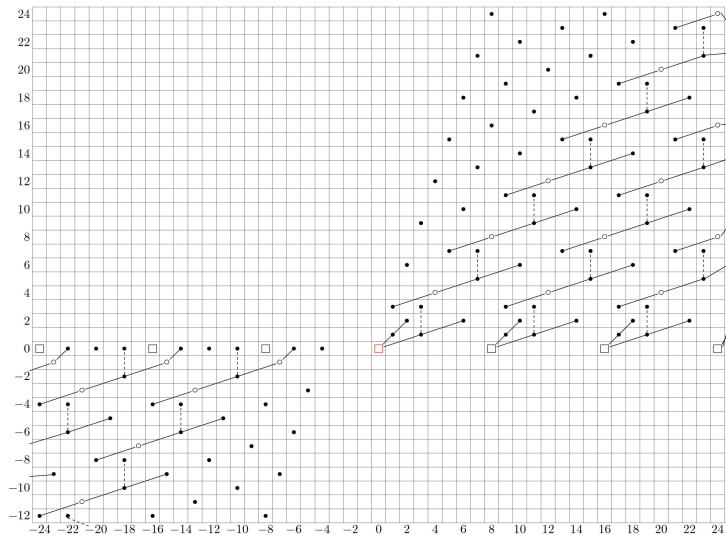
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# The corresponding slice spectral sequence



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