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Model categories and spectra Mike Hill UCLA Mike Hopkins Harvard University Doug Ravenel University of Rochester



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Model categories and spectra



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Quillen model categories

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The strict model structure on the category of spectra

The stable model structure

This expository talk is a self contained variant of the one I gave in Shenzhen.

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A spectrum X was originally defined to be a sequence of pointed spaces or simplicial sets $\{X_0, X_1, X_2, ...\}$

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A spectrum X was originally defined to be a sequence of pointed spaces or simplicial sets $\{X_0, X_1, X_2, ...\}$ with structure maps $\epsilon_n^X : \Sigma X_n \to X_{n+1}$.

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There are two different notions of weak equivalence in the category of spectra Sp:

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There are two different notions of weak equivalence in the category of spectra Sp:

• $f: X \rightarrow Y$ is a strict equivalence if each map f_n is a weak equivalence.

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- $f: X \rightarrow Y$ is a strict equivalence if each map f_n is a weak equivalence.
- $f: X \to Y$ is a stable equivalence if ...

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There are at least two different ways to finish the definition of stable equivalence:





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There are at least two different ways to finish the definition of stable equivalence:

(i) Define stable homotopy groups of spectra





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- $f: X \to Y$ is a stable equivalence if ...

There are at least two different ways to finish the definition of stable equivalence:

(i) Define stable homotopy groups of spectra and require $\pi_* f$ to be an isomorphism.





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There are at least two different ways to finish the definition of stable equivalence:

- (i) Define stable homotopy groups of spectra and require $\pi_* f$ to be an isomorphism.
- (ii) Define a functor $\Lambda : Sp \to Sp$





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There are at least two different ways to finish the definition of stable equivalence:

- (i) Define stable homotopy groups of spectra and require $\pi_* f$ to be an isomorphism.
- (ii) Define a functor $\Lambda : Sp \to Sp$ where $(\Lambda X)_n$ is the homotopy colimit

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- (i) Define stable homotopy groups of spectra and require $\pi_* f$ to be an isomorphism.
- (ii) Define a functor $\Lambda : Sp \to Sp$ where $(\Lambda X)_n$ is the homotopy colimit (meaning the mapping telescope) of

$$X_n \to \Omega X_{n+1} \to \Omega^2 X_{n+2} \to \dots$$

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and then require Λf to be a strict equivalence.





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and then require Λf to be a strict equivalence.

These two definitions are known to be equivalent.

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Dan Kan 1928-2013 Pete Bousfield

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- · Fibrant and cofibrant replacement

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We will see that the passage from strict equivalence to stable equivalence is a form of Bousfield localization.

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A Quillen model category \mathcal{M} is a category equipped with three classes of morphisms: weak equivalences, fibrations and cofibrations,

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MC1 Bicompleteness axiom. *M* has all small limits and colimits.

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MC2 2-out-of-3 axiom. Let $X \xrightarrow{f} Y \xrightarrow{g} Z$ be morphisms in \mathcal{M} .

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MC3 Retract axiom. A retract of a weak equivalence, fibration or cofibration

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We say that a fibration or cofibration is trivial (or acyclic)

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We say that a fibration or cofibration is trivial (or acyclic) if it is also a weak equivalence.

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MC4 Lifting axiom. Given a commutative diagram

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MC4 Lifting axiom. Given a commutative diagram



a morphism h (called a lifting) exists for i and p as indicated.

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cofibration trivial cofibration $B \xrightarrow{f} X \\ \downarrow_{p} trivial fibration \\ \downarrow_{p} fibration \\ fibration \\ Y, fibration \\ fibration \\ fibration \\ Y, fibration \\ fibration \\ fibration \\ fibration \\ Y, fibration \\ fibr$

a morphism h (called a lifting) exists for i and p as indicated.

MC5 Factorization axiom. Any morphism $f : X \rightarrow Y$ can be functorially factored in two ways as

$$X \xrightarrow{f} Y$$

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cofibration trivial cofibration $B \xrightarrow{f} X$ \downarrow_p trivial fibration $B \xrightarrow{g} Y$,

a morphism h (called a lifting) exists for i and p as indicated.

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This last axiom is the hardest one to verify in practice.

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Let \mathcal{T} op denote the category of (compactly generated weak Hausdorff) topological spaces.

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Let \mathcal{T} op denote the category of (compactly generated weak Hausdorff) topological spaces. Weak equivalences are maps inducing isomorphisms of homotopy groups.

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Let \mathcal{T} op denote the category of (compactly generated weak Hausdorff) topological spaces. Weak equivalences are maps inducing isomorphisms of homotopy groups. Fibrations are Serre fibrations, that is is maps $p: X \to Y$ with the right lifting property



Cofibrations are maps (such as $i_n : S^{n-1} \to D^n$ for $n \ge 0$) having the left lifting property with respect to all trivial Serre fibrations.

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Similar definitions can be made for \mathcal{T} ,

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Cofibrations are maps (such as $i_n : S^{n-1} \to D^n$ for $n \ge 0$) having the left lifting property with respect to all trivial Serre fibrations.

Similar definitions can be made for \mathcal{T} , the category of pointed topological spaces and basepoint preserving maps.

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Recall that we denote the initial and terminal objects of \mathcal{M} by \emptyset and *. When they are the same, we say that \mathcal{M} is pointed.

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Recall that we denote the initial and terminal objects of \mathcal{M} by \emptyset and *. When they are the same, we say that \mathcal{M} is pointed.

Definition

An object X is cofibrant if the unique map $\emptyset \to X$ is a cofibration. It X is fibrant if the unique map $X \to *$ is a fibration.

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Definition

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All objects in \mathcal{T} and \mathcal{T} op are fibrant.

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By **MC5**, for any object *X*, the unique maps $\emptyset \to X$ and $X \to *$ have factorizations

 $\emptyset \to QX \to X$ and $X \to RX \to *$

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By **MC5**, for any object *X*, the unique maps $\emptyset \to X$ and $X \to *$ have factorizations

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where QX is a cofibrant object weakly equivalent to X,

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These maps to and from X are called cofibrant and fibrant approximations.

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Some definitions (continued)

By MC5, for any object X, the unique maps $\emptyset \to X$ and $X \to *$ have factorizations

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where QX is a cofibrant object weakly equivalent to X, and RX is a fibrant object weakly equivalent to X.

These maps to and from X are called cofibrant and fibrant approximations. The objects QX and RX are called cofibrant and fibrant replacements of X.

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In $\mathcal{T}op$, let

$$\mathcal{I} = \left\{ i_n : S^{n-1} \to D^n, n \ge 0 \right\} \text{ and } \mathcal{J} = \left\{ j_n : I^n \to I^{n+1}, n \ge 0 \right\}$$

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In Top, let

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It is known that every (trivial) cofibration in \mathcal{T} op can be derived from the ones in $(\mathcal{J}) \mathcal{I}$ by iterating certain elementary constructions.

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In \mathcal{T} , the category of pointed topological spaces,





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In \mathcal{T} , the category of pointed topological spaces, one can define similar sets \mathcal{I}_+ and \mathcal{J}_+ ,

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It is known that every (trivial) cofibration in $\mathcal{T}op$ can be derived from the ones in $(\mathcal{J}) \mathcal{I}$ by iterating certain elementary constructions. A map is a (trivial) fibration iff it has the right lifting property with respect to each map in $(\mathcal{I}) \mathcal{J}$. This condition is easier to verify than the previous one.

In \mathcal{T} , the category of pointed topological spaces, one can define similar sets \mathcal{I}_+ and \mathcal{J}_+ , by adding disjoint basepoints to the above.

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A cofibrantly generated model category \mathcal{M}

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A cofibrantly generated model category \mathcal{M} is one with morphism sets \mathcal{I} and \mathcal{J} having similar properties to the ones in \mathcal{T} op.

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Definition

A cofibrantly generated model category \mathcal{M} is one with morphism sets \mathcal{I} and \mathcal{J} having similar properties to the ones in \mathcal{T} op. \mathcal{I} (\mathcal{J}) is a generating set of (trivial) cofibrations.

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In practice, specifying the generating sets ${\cal I}$ and ${\cal J},$ and defining weak equivalences

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Definition

A cofibrantly generated model category \mathcal{M} is one with morphism sets \mathcal{I} and \mathcal{J} having similar properties to the ones in \mathcal{T} op. \mathcal{I} (\mathcal{J}) is a generating set of (trivial) cofibrations.

In practice, specifying the generating sets $\mathcal I$ and $\mathcal J,$ and defining weak equivalences is the most convenient way to describe a model category.

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Suppose we have a model category $\ensuremath{\mathcal{M}},$ and we wish to change the model structure

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Suppose we have a model category \mathcal{M} , and we wish to change the model structure (without altering the underlying category)

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Suppose we have a model category \mathcal{M} , and we wish to change the model structure (without altering the underlying category) as follows.

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Around 1975 Pete Bousfield had a brilliant idea.

Suppose we have a model category \mathcal{M} , and we wish to change the model structure (without altering the underlying category) as follows.

• Enlarge the class of weak equivalences in some way.



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Suppose we have a model category \mathcal{M} , and we wish to change the model structure (without altering the underlying category) as follows.

- Enlarge the class of weak equivalences in some way.
- Keep the same class of cofibrations as before.



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- Enlarge the class of weak equivalences in some way.
- Keep the same class of cofibrations as before.
- Define fibrations in terms of right lifting properties with respect to the newly defined trivial cofibrations.

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Since there are more weak equivalences,





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Since there are more weak equivalences, there are more trivial cofibrations.



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Since there are more weak equivalences, there are more trivial cofibrations. Hence there are fewer fibrations





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Since there are more weak equivalences, there are more trivial cofibrations. Hence there are fewer fibrations and fewer fibrant objects.

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Since there are more weak equivalences, there are more trivial cofibrations. Hence there are fewer fibrations and fewer fibrant objects. This could make the fibrant replacement functor much more interesting.





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The hardest part of this is showing that the new classes of weak equivalences and fibrations,





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The hardest part of this is showing that the new classes of weak equivalences and fibrations, along with the original class of cofibrations,





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Since there are more weak equivalences, there are more trivial cofibrations. Hence there are fewer fibrations and fewer fibrant objects. This could make the fibrant replacement functor much more interesting.

The hardest part of this is showing that the new classes of weak equivalences and fibrations, along with the original class of cofibrations, satisfy the second Factorization Axiom MC5.

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Since there are more weak equivalences, there are more trivial cofibrations. Hence there are fewer fibrations and fewer fibrant objects. This could make the fibrant replacement functor much more interesting.

The hardest part of this is showing that the new classes of weak equivalences and fibrations, along with the original class of cofibrations, satisfy the second Factorization Axiom MC5. The proof involves some delicate set theory.



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Let $\mathcal{T}\textit{op}$ be the category of topological spaces with its usual model structure.

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Let $\mathcal{T}\textit{op}$ be the category of topological spaces with its usual model structure.

Choose an integer n > 0.

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Let $\mathcal{T}\textit{op}$ be the category of topological spaces with its usual model structure.

Choose an integer n > 0. Define a map f to be a weak equivalence if $\pi_k f$ is an isomorphism for $k \leq n$.

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Let $\mathcal{T}\textit{op}$ be the category of topological spaces with its usual model structure.

Choose an integer n > 0. Define a map f to be a weak equivalence if $\pi_k f$ is an isomorphism for $k \leq n$. Then the fibrant objects are the spaces with no homotopy above dimension n.

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Choose an integer n > 0. Define a map f to be a weak equivalence if $\pi_k f$ is an isomorphism for $k \le n$. Then the fibrant objects are the spaces with no homotopy above dimension n. The fibrant replacement functor is the nth Postnikov section.

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Recall that a spectrum X is a sequence of pointed spaces $\{X_0, X_1, X_2, ...\}$

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Definition

The *m*th Yoneda spectrum S^{-m} is given by

$$(S^{-m})_n = \begin{cases} * & \text{for } n < m \\ S^{n-m} & \text{otherwise}, \end{cases}$$

with the evident structure maps.

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In particular,

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with the evident structure maps.

In particular, S^{-0} is the sphere spectrum.

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This model structure is known to be cofibrantly generated.

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This model structure is known to be cofibrantly generated. Recall that \mathcal{T} , the category of pointed topological spaces, has generating sets \mathcal{I}_+ and \mathcal{J}_+ .

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This model structure is known to be cofibrantly generated. Recall that \mathcal{T} , the category of pointed topological spaces, has generating sets \mathcal{I}_+ and \mathcal{J}_+ . The ones for Sp are

$$\widetilde{\mathcal{I}} = \bigcup_{m \ge 0} S^{-m} \wedge \mathcal{I}_+$$
 and $\widetilde{\mathcal{J}} = \bigcup_{m \ge 0} S^{-m} \wedge \mathcal{J}_+.$

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Note that here we are smashing a spectrum *X* with a map of pointed spaces $g : A \rightarrow B$.

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Note that here we are smashing a spectrum *X* with a map of pointed spaces $g : A \rightarrow B$. The *n*th component of $X \land g$ is the map $X_n \land A \rightarrow X_n \land B$.

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Experience has taught us that to do stable homotopy theory,





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Experience has taught us that to do stable homotopy theory, we need a looser notion of weak equivalence, one which involves stable homotopy groups. To define them, recall our functor $\Lambda : Sp \to Sp$

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$$X_n \to \Omega X_{n+1} \to \Omega^2 X_{n+2} \to \dots$$

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Each space $(\Lambda X)_n$ is an infinite loop space,

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Each space $(\Lambda X)_n$ is an infinite loop space, and the adjoint structure map

$$\eta_n^{\Lambda X}: (\Lambda X)_n \to \Omega(\Lambda X)_{n+1}$$

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$$X_n \to \Omega X_{n+1} \to \Omega^2 X_{n+2} \to \dots$$

Each space $(\Lambda X)_n$ is an infinite loop space, and the adjoint structure map

$$\eta_n^{\Lambda X}: (\Lambda X)_n \to \Omega(\Lambda X)_{n+1}$$

is a weak equivalence for all *n*, so ΛX is an Ω -spectrum.

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Again, $(\Lambda X)_n$ is the homotopy colimit

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We can use it to define the stable homotopy groups of X by

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We can use it to define the stable homotopy groups of *X* by

$$\pi_k X := \pi_{n+k} (\Lambda X)_n$$

which is independent of *n*. We say a map $f : X \to Y$ is a stable equivalence if $\pi_* f$ is an isomorphism.





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Thus we have expanded the class of weak equivalences, so we can use Bousfield localization to construct the stable model structure.

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Thus we have expanded the class of weak equivalences, so we can use Bousfield localization to construct the stable model structure. It turns out that the fibrant objects are precisely the Ω -spectra and that our functor Λ is fibrant replacement!

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We will now describe cofibrant generating sets for the stable model structure on the category of spectra Sp.

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We will now describe cofibrant generating sets for the stable model structure on the category of spectra Sp. Recall that the strict model structure has generating sets

$$\mathcal{I}^{\text{strict}} = \bigcup_{m \ge 0} S^{-m} \wedge \mathcal{I}_+ \quad \text{and} \quad \mathcal{J}^{\text{strict}} = \bigcup_{m \ge 0} S^{-m} \wedge \mathcal{J}_+.$$

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The stable model structure

We will now describe cofibrant generating sets for the stable model structure on the category of spectra Sp. Recall that the strict model structure has generating sets

$$\mathcal{I}^{\text{strict}} = \bigcup_{m \ge 0} S^{-m} \wedge \mathcal{I}_+ \quad \text{and} \quad \mathcal{J}^{\text{strict}} = \bigcup_{m \ge 0} S^{-m} \wedge \mathcal{J}_+.$$

The stable model structure has the same cofibrations, but more trivial cofibrations.

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The stable model structure has the same cofibrations, but more trivial cofibrations. This means we need to enlarge $\mathcal{J}^{\text{strict}}$.

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The stable model structure has the same cofibrations, but more trivial cofibrations. This means we need to enlarge $\mathcal{J}^{\text{strict}}$.

In order to do so, we need another construction, the pushout product or corner map.

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Suppose $f : A \rightarrow B$ and $g : C \rightarrow D$ are maps of pointed spaces.

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Suppose $f : A \rightarrow B$ and $g : C \rightarrow D$ are maps of pointed spaces. Consider the diagram



Here *P* is the pushout of the two maps from $A \wedge C$.

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Suppose $f : A \rightarrow B$ and $g : C \rightarrow D$ are maps of pointed spaces. Consider the diagram



Here *P* is the pushout of the two maps from $A \wedge C$. Since the outer diagram commutes, there is a unique map from it to $B \wedge D$

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Here *P* is the pushout of the two maps from $A \wedge C$. Since the outer diagram commutes, there is a unique map from it to $B \wedge D$ which we denote by $f \square g$.

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Suppose $f : A \rightarrow B$ and $g : C \rightarrow D$ are maps of pointed spaces. Consider the diagram



Here *P* is the pushout of the two maps from $A \wedge C$. Since the outer diagram commutes, there is a unique map from it to $B \wedge D$ which we denote by $f \square g$. This is the pushout product or corner map of *f* and *g*.

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This construction also makes sense if $f : A \rightarrow B$ is a map of spectra,

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Suppose $f : A \rightarrow B$ and $g : C \rightarrow D$ are maps of pointed spaces. Consider the diagram



Here *P* is the pushout of the two maps from $A \wedge C$. Since the outer diagram commutes, there is a unique map from it to $B \wedge D$ which we denote by $f \square g$. This is the pushout product or corner map of *f* and *g*.

This construction also makes sense if $f : A \rightarrow B$ is a map of spectra, with $g : C \rightarrow D$ still being a map of spaces.

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Recall that we need to enlarge the generating set of trivial cofibrations,

$$\mathcal{J}^{\textit{strict}} = \bigcup_{m \ge 0} S^{-m} \wedge \mathcal{J}_+$$

We will do so by defining a set S of stable equivalences of spectra and adjoining the set $S \square \mathcal{I}_+$ to $\mathcal{J}^{\text{strict}}$.

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Recall the Yoneda spectrum S^{-k} given by

$$(S^{-k})_n = \begin{cases} * & \text{for } n < k \\ S^{n-k} & \text{otherwise} \end{cases}$$

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 $(S^{-k} \wedge S^k)_n = \begin{cases} * & \text{for } n < k \\ S^n & \text{otherwise.} \end{cases}$

This is the same as the sphere spectrum S^{-0} for $n \ge k$.

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This is the same as the sphere spectrum S^{-0} for $n \ge k$. Hence there is a stable equivalence $s_k : S^{-k} \land S^k \to S^{-0}$

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 $(S^{-k} \wedge S^k)_n = \begin{cases} * & \text{for } n < k \\ S^n & \text{otherwise.} \end{cases}$

This is the same as the sphere spectrum S^{-0} for $n \ge k$. Hence there is a stable equivalence $s_k : S^{-k} \land S^k \to S^{-0}$ whose *n*th component is the identity map for $n \ge k$.

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Recall that the strict model structure on the category of spectra Sp is cofibrantly generated by the sets

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The stable model structure is cofibrantly generated by the sets





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The stable model structure has the same cofibrations, but more trivial cofibrations. This means we need to enlarge $\mathcal{J}^{\text{strict}}$.

The stable model structure is cofibrantly generated by the sets

$$\mathcal{I}^{stable} = \mathcal{I}^{strict}$$

and
$$\mathcal{J}^{stable} = \mathcal{J}^{strict} \cup \bigcup_{k \ge 0} s_k \square \mathcal{I}_+,$$

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$$\mathcal{I}^{stable} = \mathcal{I}^{strict}$$

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$$\mathcal{J}^{stable} = \mathcal{J}^{strict} \cup \bigcup_{k \ge 0} s_k \square \mathcal{I}_+,$$

where $s_k : S^{-k} \wedge S^k \to S^{-0}$ is the stable equivalence defined above.





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Thank you

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